



Technical Bulletin #5a
Data Quality
REVISED: February, 2012^{1,2,3}

This Technical Bulletin provides information to title IV-E agencies on how to interpret the data quality reports generated by the Federal AFCAR System on each semi-annual AFCARS data submission. The Children's Bureau has made available to title IV-E agencies a version of the Federal utility in order to assist title IV-E agencies in assessing the quality of their data prior to submitting it to the Federal AFCAR System. Instructions for installing and executing the Title IV-E Agency AFCARS Data Quality Utility (DQU), version 2.1 is included as well.

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¹ Initial issuance date, November 1, 1996 as Technical Bulletin #14.

² Revised format for race categories, see ACYF-CB-PI-99-01, January 27, 1999 and 65 FR 4019.

³ Previously issued March, 2000 as Technical Bulletin #9A.

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I. Introduction

A. AFCARS Background

AFCARS is designed to collect uniform, reliable information on children who are under the responsibility of the title IV-B/IV-E agency for placement, care, or supervision. The collection of adoption and foster care data is mandated by section 479 of the Social Security Act (the Act). The requirements for AFCARS are codified in Federal regulation at 45 CFR 1355.40. Effective October 1, 2009, section 479B(b) of the Act authorizes direct Federal funding of Indian Tribes, Tribal organizations, and Tribal consortia that choose to operate a foster care, adoption assistance and, at Tribal option, a kinship guardianship assistance program under title IV-E of the Act. On January 6, 2012, the Administration for Children and Families (ACF) issued an Interim Final Rule (IFR)⁴ to implement statutory provisions related to the Tribal title IV-E program. The Federal regulations at 45 CFR 1355.40 were amended to apply the same regulatory requirements for data collection and reporting to a Tribal title IV-E agency as are applied to a State title IV-E agency.

AFCARS was established to provide data that would assist in policy development and program management. Data can be used by policymakers at the Federal, Tribal, and State levels to assess the reasons why children are in foster care and to develop strategies to prevent their unnecessary placement into foster care. Specifically, the data include information about foster care placements, adoptive parents, and length of time in foster care, and make it possible to identify trends in particular geographic areas. Also, the data enable the Children's Bureau to administer the Federal title IV-E foster care and adoption assistance programs more effectively. The Children's Bureau and ACF use these data for a number of purposes, including:

- responding to Congressional requests for current data on children in foster care or those who have been adopted;
- responding to questions and requests from other Federal departments and agencies, including the General Accounting Office (GAO), the Office of Management and Budget (OMB), the Department of Health and Human Services' Office of Inspector General (OIG), national advocacy organizations, States, Tribes, and other interested organizations;
- developing short- and long-term budget projections;
- developing trend analyses and short- and long-term planning;
- targeting areas for greater or potential technical assistance efforts, for discretionary service grants, research and evaluation, and regulatory change; and
- determining and assessing outcomes for children and families.

Additionally, the AFCARS data are used specifically in the

- Adoption Incentives Program;

⁴ 77 FR 896 (January 6, 2012)

- Child Welfare Outcomes Report;
- Child and Family Services Reviews (CFSRs);
- Title IV-E Eligibility Reviews; and
- Allotment of funds in the Chafee Foster Care Independence Program (CFCIP).

B. Overview of the Technical Bulletin

The Children's Bureau is committed to providing assistance to title IV-E agencies to support the collection of quality adoption and foster care data. To this end, there are three key utilities that a title IV-E agency can run on its AFCARS data: (1) the Data Quality Utility (DQU), (2) the Data Compliance Utility (DCU), and (3) the frequency report utility. All three utilities are available for download on the Children's Bureau's web page, and the Children's Bureau encourages title IV-E agencies to use these utilities prior to submitting data to the Children's Bureau. In order to optimize the usefulness of these utilities, title IV-E agencies should incorporate them as a routine task in quality control of the AFCARS data and assess the data on a regular basis, such as quarterly.

The focus of this Technical Bulletin is on the DQU. In particular, this Technical Bulletin provides guidance on how to install and execute the utility (version 2.1) and how to interpret data quality reports. The DQU creates two output files, one for foster care and one for adoption, that contain a detailed and a summary report on the DQU's findings. Descriptions of reports produced by the DQU appear in Section III of this document. Examples of the reports can be found in Appendix A for adoption and B for foster care. The Children's Bureau also runs an internal version of the utility that removes certain data determined to be incorrect from a title IV-E agency's file prior to loading it into the Federal AFCARS database (the original raw data file submitted by the title IV-E agency is archived). The Children's Bureau does not distribute the results of this internal data quality check directly to title IV-E agencies. However, the title IV-E agency's version of the utility does indicate which elements *would be* removed if the title IV-E agency file were to be submitted to the Federal AFCARS.

Note that this Technical Bulletin addresses the information contained in the Data Quality Reports. Technical Bulletin 5b is a companion document to this Technical Bulletin and includes the technical description of how the DQU operates. Also, while the DQU incorporates the regulatory data compliance standards, this Technical Bulletin does not provide guidance on those standards. See Technical Bulletin #4 for information on the data compliance checks.

II. AFCARS Data Quality Checks

A. Overview of the Data Quality Utility

The Federal AFCAR System and the title IV-E Agency DCU incorporates only those edit checks required to assess the title IV-E agency's compliance with the standards in 45 CFR 1355.40. Since these edit checks are a minimal subset of the AFCARS standards, the Children's Bureau developed a utility that would perform more detailed edit checks on the

data being submitted to AFCARS. The data quality edit checks are a tool that rigorously assesses the quality and accuracy of the data. The results are designed to assist title IV-E agencies in identifying problems with the data that would prevent it from being used in national data reports, or from the title IV-E agency being able to evaluate the child welfare services being delivered.

It is important to note that the edit checks described in this Technical Bulletin and those in Technical Bulletin #4, Data Compliance are not able to inform the Children's Bureau as to whether or not a title IV-E agency's information system satisfies all of the Federal AFCARS regulatory requirements, nor demonstrates the title IV-E agency is submitting accurate and reliable data to the Children's Bureau. For example, the edit checks cannot assess the AFCARS data files to determine whether the title IV-E agency submitted data on the correct foster care population for the correct time period required by the regulations. Also, the edit checks cannot determine whether the title IV-E agency has correctly collected the necessary data and mapped it to the appropriate AFCARS values. These are items that are assessed during an AFCARS Assessment Review.

B. Detailed Description of the Data Quality Utility

B-1. What the DQU Does

The DQU helps identify several errors in the data file:

- **DQU identifies all errors in each record.** Extensive analysis was performed on each individual data element as well as more than 6,000 relationships among the data elements. As a result, the DQU was designed to perform more than 800 checks on these relationships. The DQU **does not determine data compliance**, so it identifies every inconsistency among data elements even if it is not subject to Federal data compliance checks.
- **DQU identifies Cross-File Errors.** The DQU looks across the entire file to find questionable trends in the data. These suspect errors are called Cross-File Errors. For example, a title IV-E agency's data may be suspect if all records in the file indicate that each child is in his or her first foster care episode.
- **DQU identifies the most likely error requiring editing.** Rather than marking all elements that are inconsistent as incorrect, the DQU uses other available information from the record to identify which element is the most likely cause of the inconsistency in the relationship. Please note that while the existence of a "most likely" error does indicate an inconsistency among the data, it does not necessarily mean it is the true source of the error. It is possible that other element(s) in the relationship are the cause of the inconsistency in addition to, or instead of, the identified "most likely" error. If, in evaluating the data, it is determined that an element identified as a "most likely" error by the DQU is, in fact, correct, the other elements involved in the relationship should be examined to determine which of them is incorrect.

- **DQU identifies formatting errors in the Adoption Aggregate file.** The DQU checks the formatting on all fields in this one-record file and reports any errors.
- **DQU identifies formatting errors in all footnotes.** The option to include footnotes with an AFCARS file allows title IV-E agencies to explain any issues that might assist in the analysis of their data. Submission of this file is optional, but should a title IV-E agency submit a footnote file, the DQU will generate an error report if formatting errors are identified.

B-2. Differences Between the Data Quality Checks and the Data Compliance Checks

The DQU is very similar to the Title IV-E Agency AFCARS Data Compliance Utility (DCU). They both identify errors in the data, and they create reports that look similar. However, they are also very different in several ways. Table 1 lists the differences between the two utilities.

TABLE 1	
DATA QUALITY UTILITY (DQU)	TITLE IV-E DATA COMPLIANCE UTILITY (DCU)
Marks all errors	Marks only errors that fail to meet the data standards as cited in 45 CFR 1355.40
Marks errors for each of the six categories of a race element	Marks only errors for the race element viewed as a whole
Identifies element that is most likely causing internal inconsistency and only marks that element as wrong	Marks all elements causing an internal inconsistency as wrong
Validates formatting of Adoption Aggregate file	Does not validate formatting of Adoption Aggregate file
Validates formatting of footnotes	Does not validate formatting of footnotes
Identifies file-level "cross file" and record-level errors for each element	Only identifies record-level errors for each element
Used for identifying data errors and improving the quality of the data	Used to identify data errors and to identify any element that does not meet data standards as cited in 45 CFR 1355.40

The utilities serve different purposes. It benefits the title IV-E agency to use them both.

For more information on the AFCARS Data Compliance Utility, see Technical Bulletin #4: AFCARS Data Compliance.

III. Interpreting AFCARS Data Quality Reports

This section provides guidance on how to interpret the reports generated by the DQU. There are two types of reports. The Detailed Data Quality Report indicates every error for each element in each record. Unlike the detailed report generated by the DCU, this report will indicate *every* error in a record regardless of whether the element is required for purposes of determining regulatory compliance. This is to assist the title IV-E agency in improving the quality of the data for both title IV-E agency and Federal use.

The Summary Data Quality Report gives a total for each type of error by each element. The Summary Report will also include "Cross File" errors, which are described in Section III.B-1 of this document, formatting errors to footnotes (if footnotes have been included in the file), and formatting errors to the Adoption Aggregate file (if one has been included in the adoption file).⁵

Examples of both reports are included in Appendices A and B of this Technical Bulletin.

A. Detailed Data Quality Reports

The DQU report shows all of the errors found by the DQU in every element. The first column in the report lists the record number (foster care element #4 and adoption element #3).

The remaining columns represent the element numbers (sixty-six for foster care, thirty-seven for adoption) and the categories „a“ through „f“ for each race element. If there is a „.“ in a column, that element had no errors in that record. If there is not a „.“ one of several errors is identified. These errors are described in Sections III.A-1 through IV.A-3, below.

Note that within a record, no element will be marked with more than one error. However, unlike the DCU, even if an element has been identified to be an error, it *may* still be used to help evaluate consistency among other data.

If a record has no errors in it, it will not appear in the Detailed Report.

Elements will be tested for the three types of errors described below.

A-1. Missing

A "1" in the detailed report indicates a missing element. This indicates that the element was left blank in the record when it was required. Under certain circumstances, some elements are not required to have data and thus may be left blank. An example is the date of birth for the second foster parent when the child is placed in the foster home of a single foster parent. The elements that do not require data will never be marked with a "1."

⁵ The Adoption Aggregate file is an obsolete file that is no longer required. However, it is still referenced in this Technical Bulletin because the utility has not been modified and still contains the checks conducted on the Adoption Aggregate file.

A-2. Out-of-Range

A "2" in the detailed report indicates an element with an out-of-range value (i.e., not allowable value). For example, the allowable values for sex are "1" for male and "2" for female. Any other value is out-of-range. Allowable values for coded elements are listed in Appendices A and B to Part 1355, as well as in Technical Bulletin #1, AFCARS Data Elements.

Allowable values for date elements are, in general: 1) formatted according to AFCARS regulation; 2) valid dates, e.g. April 31 is *not* a valid date; 3) prior to the current date, i.e., the date the utility is executed; and 4) on or after January 1, 1900. Some date elements have more restrictive ranges.

For a complete list of out-of-range standards for each element, see Appendix A: 2 (for the adoption elements) and Appendix B: 2 (for the foster care elements).

Note: with the exception of the record number (element #4 in foster care, element #3 in adoption), all elements must contain only digits or blanks and no other characters. If any non-numeric character, other than a blank, is found in a field, it will be marked as out of range.

A-3. Most Likely Errors

Many data elements are inter-related. For example, for adoption data, if the adoptive parents' family structure is reported as "single mother," then data on the adoptive mother should be reported and data on adoptive father should not be reported. If data on an adoptive father is in the record, an inconsistency error is found. Such inconsistencies are indicated in the detailed report. An error in an element that is "most likely" causing an inconsistency among an inter-related set of data elements, or among the race categories of a race element in the record, is indicated with a lower-case letter ("a", "b", "c", etc.) in the detailed report. In some cases, an inconsistency occurs among a set of data elements and an individual element cannot be identified as the "most likely error." In these cases, several elements involved in the inconsistency may be marked as errors.

An upper-case letter ("A", "B", "C", etc.) indicates an error that will be "cleaned" or removed from the title IV-E agency's data file prior to being loaded into the Federal AFCARS database. A deleted element will have blanks in place of the incorrect data when loaded into the AFCARS database. The original title IV-E agency's data file is never altered in this process and is archived. The data loaded into the AFCARS database, however, only contains data remaining after this error deletion process. The title IV-E agency version of the utility does not delete any values. It does, however, indicate which elements *would be* deleted if the title IV-E agency file were to be submitted to the Federal AFCARS.

Again, remember that while the existence of a "most likely" error does indicate an inconsistency among the data, it does not necessarily mean it is the true source of the error. It is possible that other element(s) in the relationship are the cause of the

inconsistency in addition to, or instead of, the identified "most likely" error. If, in evaluating the data, it is determined that an element identified as a "most likely" error by the DQU is, in fact, correct, an examination of all other elements involved in the relationship should be conducted to determine which of them is incorrect. The letter in the report corresponds to the legend, printed at the top of the detailed report, which indicates which elements were involved in the relationship.

Unlike the Title IV-E Agency AFCARS Data Compliance Utility, the DQU will check all possible inconsistencies among the data elements. Also, elements that fail one consistency check may still be involved in other consistency checks.

There are seven adoption consistency check groups and thirteen foster care consistency check groups. All the consistency checks that are enumerated in Appendix D to Part 1355 are included in these groups. For a description of each of the elements involved in the groups and the rationale behind each check, please see Appendix A: 4 (for adoption) and Appendix B: 4 (for foster care).

B. Summary Data Quality Report

The Summary Data Quality Report summarizes all of the information in the detailed edit report to assist in determining the location of likely data errors. Below are descriptions of the different types of errors shown in the Summary Data Quality Report.

B-1. Cross-File Errors

In addition to examining data relationships within a record, the DQU searches for errors by evaluating each data element and race category across the complete file. For example, while it is valid to have an individual record coded as "male," it is improbable that every record would be correctly coded as such. In this example, a message would be included in the Cross-File Errors section of the report that says: "All records have the same sex." It should be noted that there may be legitimate instances where 100 percent of the records contain the same value for an element. However, the utility is designed to flag data that may not be typical for most title IV-E agencies in order to call attention to the *possibility* of an error. A full list of the cross-file checks, by element, is available in Appendix A: 3 for adoption and Appendix B: 3 for foster care.

It is important to note that these checks only evaluate those records where the element has passed all record-level error checks. In other words, if the element has anything other than a "." in the detailed report, it will not be included as part of the cross-file check. This means that it is possible for a "cross-file" check to fail, even when many of the records have very different data in them, since the record includes missing, out-of-range, and/or "most likely" errors.

For example, consider a file with ten records. All of the records have data for the element "latest removal date." However, in five of the records the data are out of range. In two more of the records, the data have been identified as the most likely error in some

inconsistency. The last three records have valid data in the element, but the data are the same for all three records. In this case, even though the **ten** records may have different data entered for this element, the **three** records with valid data were all the same. Therefore, the "cross -file" checks will fail, and a message will print that says, "All records have the same latest removal YEAR."

If there are no valid values for a particular element, the check cannot be performed. In this case, the message "**No valid information submitted for [name of the element].**" will be printed in the report.

B-2. Data Errors

This section is a summary of the errors that appear in the Detailed Data Quality Report.

The data errors appear in the second half of the summary report, below the cross-file errors. The data error section has seven columns:

1. *Data Element* - the element number.
2. *Missing Data* - the total number of records where no data were reported.
3. *Out-of-Range* - the number of records where the value given for the element was not an allowable response (e.g., not one of the allowable codes, an invalid date, or included non-numeric characters). See Appendices A:2 and B:2 for a complete list of out-of-range checks.
4. *Most Likely Error* - the number of records where the element was determined to be the likely cause of an inconsistency among a group of data elements.
5. *Total Errors* - the total number of records with Missing, Out-of-Range, or Most Likely Errors for the element (i.e., the sum of columns 2, 3, and 4 above).
6. *Percent of Total Records* - the percentage of records where that element had an error divided by the total number of records in the file. The total number of records is indicated above the column headings and appears as the following:

Data Errors for 00011999 Records

Where 11999 is the total number of records.

7. *Cleaned Errors* - the total number of records where the element *would be* deleted if the file were submitted to the Federal AFCARS. This is the total of out-of-range errors for the element plus the total number of upper case

letters ("A", "B", "C", etc.) in the detailed report. **NOTE: This column is not the total of any other columns in the report.** It is meant to indicate how many times an element contains an error which would cause Federal AFCARS to delete the data.

B-3. Footnote Errors

Footnotes are an optional part of an AFCARS file. They allow the title IV-E agency to provide an explanation about the data. Footnotes can be provided about an individual AFCARS data element, or about foster care, adoption, or adoption aggregate data in general. However, if footnotes are submitted, they must be properly formatted so that they can be referenced when the data are being reviewed.

At the beginning of every footnote, certain header information is required to ensure that the footnote is properly stored for future reference. This information includes the title IV-E agency identifier, the AFCARS report period, the file type (foster care or adoption), and the element number to which it refers. If any of this header information is incorrect, the footnote will not be stored properly. Instructions on how to properly format an AFCARS footnote can be found in AFCARS Technical Bulletin #10: Footnote Guidance.

The DQU validates the formatting of the header information in each footnote that is going to be submitted. If any of the components of the header information are incorrect, an error message will appear in this section of the report for whichever file the footnote references (i.e., foster care footnote errors will appear in the foster care report, adoption and adoption aggregate footnote errors will appear in the adoption report). If the file type section of the header is either missing or incorrect, the footnote will appear in *both* reports.

The report will indicate which footnote has the error by a number corresponding to its position in the file (i.e., the first footnote will be referred to as "Footnote 001", the second will be "Footnote 002", and so on).

IV. Using the Title IV-E Agency AFCARS Data Quality Utility

A. General Information

A-1. Utility Files

The utility files are posted on the Children's Bureau's AFCARS web page. The utility has been written in the C programming language, and the source code and PC-compatible executable files in C also are available on the Children's Bureau website. Following is a complete listing of the files:

C Files:

DQU2.EXE (executable)
 DQU2.C (source code for missing and out-of-range checks)
 DQUADCHK2.C (source code for adoption date and group checks)
 DQUFCCHK2.C (source code for foster care date and group checks)
 DQUIREPRT2.C (source code for reports)
 DQU2.H (header file)

ASCII File:

FIPS.LST (contains a list of valid local agency codes)

A-2. Options for Using the Utility

The Children's Bureau provides both source code and executable files to allow title IV-E agencies to select their own method for checking their AFCARS data. Two options are listed below:

1. *Use an executable file.* This is the easiest option. Simply copy one of the executables and the file "FIPS.LST" to the PC where the AFCARS data submission file is stored and execute it. The executables will only run on a PC under DOS version 3.0 or higher.
2. *Use the source code.* This option provides the flexibility to execute the utility written on different computer platforms. The source code, header file, and the "FIPS.LST" file can be copied to a PC or mainframe. Each of the four source code files must be compiled, then linked to create an executable. The code may be edited to meet any additional needs at the title IV-E agency's option. **However, the Children's Bureau cannot guarantee the accuracy of reports created by the utility if the source code is modified in any way from its original form.**

A-3. Selecting C Files

If the title IV-E agency uses the DQU on a PC, DQU2.EXE can be used.

If the source code (with or without making modifications) needs to be compiled either on a PC or another platform, then a compiler that meets the ANSI-C standard is needed. For assistance or additional information, send an email to afcars@acf.hhs.gov.

B. Installing the Software

As noted above, there is a ready-to-use executable file for the DQU on the Children's Bureau website. Copy the file "DQU2.EXE" and the "FIPS.LST" file to an IBM 386 (or higher) compatible personal computer running DOS 3.0 or higher.

When using the source code, copy the source code file(s) and the "FIPS.LST" file to the platform where the compiler is located. Then make any modifications and compile the source code.

IMPORTANT NOTICE: The "FIPS.LST" file contains a list of valid local agency codes which the DQU uses to validate foster care element #3, the local agency FIPS code. When executing the DQU, the "FIPS.LST" file must be located in the same directory as the executables. Otherwise, the DQU will not work properly.

C. Checking AFCARS Data Files

IMPORTANT NOTICE: The DQU executable file will:

1. Process only files formatted as specified in AFCARS Technical Bulletin #9: File Format; and
2. Not process files in EBCDIC and/or other non-ASCII formats, or on any non-PC platform.

For the executable file written in C (DQU2.EXE), execute the program by typing the command "DQU" at the C:\> prompt.

The program will prompt the user for four things:

1. The name of the AFCARS file to be checked. This file contains the client identifier numbers. The client identifier numbers may be encrypted or sequential. Be sure to include the full path with the filename if the file is not located in the directory from which the DQU is running;
2. The file name for the file generated by the program that contains the foster care reports. Unless otherwise specified, the file will be created in the directory from which the DQU is running;
3. The file name for the file generated by the program that contains the adoption reports. Unless otherwise specified, the file will be created in the directory from which the DQU is running; and
4. The title IV-E agency's two-digit agency identifier.

Once these four steps are completed, the program will process the data file. If the file is not formatted correctly, the utility will not run properly, so it is important that the directions in AFCARS Technical Bulletin #9: File Format, are followed when creating the file.

After the program finishes executing, use a text editor to view or print the two report files that have been generated. A word processing software package such as WordPerfect or

Microsoft Word may be used to view the file. If a word processor is used, be sure to use a non-scalable font (we suggest Courier 12 cpi) when printing the files. Otherwise, the reports will not print properly.

V. Additional Information

If additional information is required, contact the Children's Bureau at afcars@acf.hhs.gov.

Appendix A:

1. Sample Reports – Adoption

Date: 02/03/2012 09:17AM
 File: Test_File.dat

AFCARS Adoption
 Detailed Data Quality Report (Version 2.1)

Report Period Ending Date: March, 2012

Legend:

- 1 = Missing Data.
- 2 = Out of Range error. This data will be deleted from the file prior to being loaded into the AFCARS database.

Inconsistent Data

- A or a = Most likely error causing inconsistency in Group #1 (Elements 2, 5, 16, 17, 19 - 21, 23, and 24.)
- B or b = Most likely error causing inconsistency in Group #2 (Elements 4, 31, 34, and 35.)
- C or c = Most likely error causing inconsistency in Group #3 (Elements 9 - 15.)
- D or d = Most likely error causing inconsistency in Group #4 (Elements 22 - 28.)
- E or e = Most likely error causing inconsistency in Group #5 (Elements 29 - 32.)
- F or f = Most likely error causing inconsistency in Group #6 (Elements 35 - 37.)
- G or g = Inconsistency error within an element in Group #7 (Elements 7, 25 and 27.)

NOTE: An upper case letter indicates that the data will be deleted if submitted to federal AFCARS.

Record #	----- Element Number ----->			
	0	10	20	30
	123456789	0123456789	0123456789	678901234567
000000000001a.
00000000000222..	..ddd	ddddddd
000000000003d.d
0000000000042...	..d.2
000000000005ddd	ddddddd
0000000000062..	..ddd	ddddddd
000000000007dd.	ddddddd
000000000008dd.	ddddddd
000000000009d..
000000000010a.d..
000000000011a.d..
000000000012d..
000000000014ddd	ddddddd
000000000015dd2
000000000016d.2
000000000017	111111..	..ddd	ddddddd
000000000018	gggggg..
000000000019	g1g1g1..	..d2d	ddddddd
000000000020a.	gggggg..	..d2d	ddddddd
000000000021a.	1ggg11..	..d2d	ddddddd
000000000022	111111..	..d2d	ddddddd
000000000023	gggggg..	..ddd	ddddddd
000000000024	gg11gg..	..ddd	ddddddd
000000000025	11gg1g..	..2..

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000000000026 ..... 111111.. ..... ..2.2 gggggg. gggggg.. .....
000000000027 ..... gggggg.. ..... ..22. gggggg. ....
000000000028 ..... g1g1g1.. ..... ..12. gggggg. ....
000000000029 ..... 111111.. ..... ..dd2 ddddddd ddddddd. ....
000000000030 ....a. 111111.. ..... ..dd2 ddddddd ddddddd. ....
000000000031 ....a. gggggg.. ..... ..dd. ddddddd .....
000000000032 ..... g1gg11.. ..... ..d.d ..... ddddddd. ....
000000000033 ..... ..... ..dd2 ddddddd .....
000000000034 ..... ggg211.. ..... ..d.d ..... ddddddd. ....
000000000035 ..... 112111.. ..... ..d.d ..... dd2dddd. ....
000000000036 ..... ggggg2.. ..... ..... .....g.. .....
000000000037 ..... gg1121.. ..... ..dd. ddddddd .....
000000000038 ..... 211111.. ..... ..ddd ddddddd ddddddd. ....
000000000039 ..... 112111.. ..... ..ddd ddddddd dddd2dd. ....
000000000040 ....a. 222222.. ..... ..dd. ddddddd .....g.. .....
000000000041 ....a. 111121.. ..... ..dd. 2dddddd .....
000000000042 ..... 122122.. ..... ..ddd dddd2d ddddddd. ....
000000000043 ..... 211111.. ..... ..ddd dd2dddd dd222dd. ....
000000000044 ..... 211112.. ..... ..dd. 22dd2dd .....g.. .....
000000000045 ..... 111121.. ..... ..... .....g. ....
000000000046 ..... 222222.. ..... ..d.d .....g. ddddddd. ....
000000000047 ..... 222111.. ..... ..d.d .....g. 222222d. ....
000000000048 ..... 111112.. ..... ..... .....g. .....g.. .....
000000000049 ..... .1111g.. ..... ..... .....
000000000050 ....a. .....g.. ..... ..d.d ..... ddddddd. ....
000000000051 ....a. 11.11g.. ..... ..d.d ..... 2dddddd. ....
000000000052 ..... 1111.g.. ..... ..... .....g.. .....
000000000053 ..... .1.1.g.. ..... ..dd. ddddddd .....
000000000054 ..... ...11g.. ..... ..ddd ddddddd ddddddd. ....
000000000055 ..... .....g.. ..... ..ddd ddddddd ddd222d. ....
000000000056 ..... 11.1.g.. ..... ..dd. ddddddd .....g.. .....
000000000057 ..... .....g.. ..... ..dd. 2dddddd .....
000000000058 ..... .....g.. ..... ..ddd dddd2d ddddddd. ....
000000000059 ..... 1111.g.. ..... ..ddd 222222d 222222d. ....
000000000060 ....a. .....g.. ..... ...2.2. .....g.. .....
000000000061 ....a. .....g.. ..... .....g. ....
000000000062 ..... .....g.. ..... ..d.d .....g. ddddddd. ....
000000000063 ..... .....g.. ..... ..d.d .....g. ddd2ddd. ....
000000000064 ..... .....g.. ..... .....g. .....g.. .....

```

Date: 02/03/2012 09:17AM
File: Test_File.dat

AFCARS Adoption
Summary Data Quality Report

Report Period Ending Date: March, 2012

Cross File Checks and Errors

Check and Error Description

- Element 06: All records have the same gender.
- Element 07: 18 record(s) of 33 valid record(s) (54.55%) have the child's race as multi-racial.
- Element 07f: 5.88% of the records have child's race coded as "unable to determine."

- Element 08: All records have child's Hispanic or Latino origin coded as "yes."
- Element 09: All records have the same determination of special needs.
- Element 10: All records have the same primary basis for determining special needs.
- Element 16: All records have the same birth year for the mother.
- Element 17: All records have the same birth year for the father.
- Element 18: All records have the same marital status for the mother at the time of the child's birth.
- Element 19: All records have the same TPR date for the mother.
- Element 20: All records have the same TPR date for the father.
- Element 21: All records have the same legalization date.
- Element 23: All records have the same birth year for the adoptive mother.
- Element 24: All records have the same birth year for the adoptive father.
- Element 25: 16 record(s) of 25 valid record(s) (64.00%) have the adoptive mother's race as multi-racial.
- Element 25f: 16.67% of the records have the adoptive mother's race coded as "unable to determine."
- Element 26: All records have the same Hispanic or Latino origin code for the adoptive mother.
- Element 27: 22 record(s) of 26 valid record(s) (84.62%) have the adoptive father's race as multi-racial.
- Element 27f: 13.33% of the records have the adoptive father's race coded as "unable to determine."
- Element 28: All records have the same Hispanic or Latino origin code for the adoptive father.
- Element 31: All records indicate the child was not adopted by a foster parent.
- Element 32: All records indicate the child was adopted by another non-relative.
- Element 34: All records indicate the child was placed by a birth parent.
- Element 36: All records have the monthly subsidy amount as: 300.

Data Errors for 00000064 Records

Data Element	Missing Data	Out-of Range	Likely Error	Total Errors	% of Total Records		Cleaned Errors
1	0	0	0	0	0.00		0
2	0	0	0	0	0.00		0
3	0	0	0	0	0.00		0
4	0	0	0	0	0.00		0
5	0	0	13	13	20.31		0
6	0	0	0	0	0.00		0
7a	17	6	12	35	54.69		6
7b	23	4	10	37	57.81		4
7c	16	6	12	34	53.13		6
7d	26	3	9	38	59.38		3
7e	19	6	9	34	53.13		6
7f	18	6	23	47	73.44		6
8	0	0	0	0	0.00		0
9	0	0	0	0	0.00		0
10	0	0	0	0	0.00		0
11	0	0	0	0	0.00		0
12	0	0	0	0	0.00		0
13	0	0	0	0	0.00		0
14	0	0	0	0	0.00		0
15	0	0	0	0	0.00		0
16	0	2	0	2	3.13		2
17	0	2	0	2	3.13		2
18	0	0	0	0	0.00		0
19	0	0	0	0	0.00		0
20	0	0	0	0	0.00		0

21	0	0	0	0	0.00		0
22	1	3	49	53	82.81		3
23	0	6	29	35	54.69		6
24	0	7	29	36	56.25		7
25a	0	4	32	36	56.25		4
25b	0	2	34	36	56.25		2
25c	0	2	34	36	56.25		2
25d	0	2	35	37	57.81		2
25e	0	2	34	36	56.25		2
25f	0	4	41	45	70.31		4
26	0	0	33	33	51.56		0
27a	0	3	31	34	53.13		3
27b	0	2	32	34	53.13		2
27c	0	4	30	34	53.13		4
27d	0	5	29	34	53.13		5
27e	0	5	29	34	53.13		5
27f	0	3	39	42	65.63		3
28	0	0	33	33	51.56		0
29	0	0	0	0	0.00		0
30	0	0	0	0	0.00		0
31	0	0	0	0	0.00		0
32	0	0	0	0	0.00		0
33	0	0	0	0	0.00		0
34	0	0	0	0	0.00		0
35	0	0	0	0	0.00		0
36	0	0	0	0	0.00		0
37	0	0	0	0	0.00		0

Total	120	89	661	870			89

* * * * *

This report is intended to identify areas in a title IV-E agency's AFCARS data file where the data is of questionable quality. To determine if this file meets the AFCARS standards for compliance, process the data file through the title IV-E agency's AFCARS Data Compliance Utility and check the generated report - AFCARS Data Report.

* * * * *

Footnote Errors

Error Description

- Footnote 008: Incorrect title IV-E Agency Code.
- Footnote 008: Invalid file type.
- Footnote 011: Incorrect title IV-E Agency Code.
- Footnote 012: Incorrect title IV-E Agency Code.
- Footnote 013: Incorrect title IV-E Agency Code.
- Footnote 014: Incorrect title IV-E Agency Code.
- Footnote 015: Incorrect title IV-E Agency Code.
- Footnote 016: Incorrect title IV-E Agency Code.
- Footnote 017: Incorrect title IV-E Agency Code.
- Footnote 017: Invalid report period end date.
- Footnote 018: Incorrect title IV-E Agency Code.
- Footnote 018: Invalid report period end date.
- Footnote 019: Incorrect title IV-E Agency Code.
- Footnote 019: Invalid report period end date.
- Footnote 020: Incorrect title IV-E Agency Code.

Footnote 020: Invalid file type.
Footnote 021: Incorrect title IV-E Agency Code.
Footnote 021: Invalid element number.
Footnote 022: Incorrect title IV-E Agency Code.
Footnote 022: Invalid element number.
Footnote 023: Incorrect title IV-E Agency Code.
Footnote 024: Incorrect title IV-E Agency Code.
Footnote 025: Incorrect title IV-E Agency Code.
Footnote 026: Incorrect title IV-E Agency Code.
Footnote 027: Incorrect title IV-E Agency Code.
Footnote 027: Invalid report period end date.
Footnote 028: Incorrect title IV-E Agency Code.
Footnote 028: Invalid report period end date.
Footnote 029: Incorrect title IV-E Agency Code.
Footnote 029: Invalid report period end date.
Footnote 030: Incorrect title IV-E Agency Code.
Footnote 030: Invalid file type.
Footnote 031: Incorrect title IV-E Agency Code.
Footnote 031: Invalid element number.
Footnote 032: Incorrect title IV-E Agency Code.
Footnote 032: Invalid element number.
Footnote 035: Incorrect title IV-E Agency Code.
Footnote 035: Invalid report period end date.
Footnote 036: Incorrect title IV-E Agency Code.
Footnote 036: Invalid report period end date.
Footnote 036: Invalid element number.
Footnote 037: Incorrect title IV-E Agency Code.
Footnote 037: Invalid report period end date.
Footnote 038: Incorrect title IV-E Agency Code.
Footnote 038: Invalid report period end date.
Footnote 038: Invalid element number.
Footnote 039: Incorrect title IV-E Agency Code.
Footnote 039: Invalid report period end date.
Footnote 039: Invalid file type.
Footnote 039: Invalid element number.

Please refer to AFCARS Technical Bulletin #10 for instructions on how to properly format footnotes in an AFCARS submission file.

Appendix A:

2. Out-of-Range Checks – Adoption

Out of Range Checks - Adoption

Adoption Element #1: Title IV-E Agency

- Must be a valid title IV-E Agency Code
- Must be correct FIPS code for State (to be compared with code entered at processing)
- Must be correct Children's Bureau code for Tribes (to be compared with code entered at processing)

Adoption Element #2: Report Period End Date

- Last two characters must be "03" or "09"
- Year must be 1995 or after
- Cannot be after report period end date for current period

Adoption Element #3: Record Number

There are no out of range checks for adoption element #3

Adoption Element #4: Title IV-E Agency Involvement

- Must be "1" or "2"

Adoption Element #5: Child's Birth Date

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Adoption Element #6: Child's Sex

- Must be "1" or "2"

Adoption Element #7a: Child's Race - American Indian or Alaska Native

- Must be "0" or "1"

Adoption Element #7b: Child's Race - Asian

- Must be "0" or "1"

Adoption Element #7c: Child's Race - Black or African American

- Must be "0" or "1"

Adoption Element #7d: Child's Race - Native Hawaiian or Other Pacific Islander

- Must be "0" or "1"

Adoption Element #7e: Child's Race - White

- Must be "0" or "1"

Adoption Element #7f: Child's Race - Unable to Determine

- Must be "0" or "1"

Adoption Element #8: Child's Hispanic or Latino Origin

- Must be "1", "2", or "3"

Adoption Element #9: Has Agency Determined Special Needs

- Must be "1" or "2"

Adoption Element #10: Primary Basis for Determining Special Needs

- Must be "0", "1", "2", "3", "4", or "5"

Adoption Element #11: Mental Retardation

- Must be "0" or "1"

Adoption Element #12: Visually/Hearing Impaired

- Must be "0" or "1"

Adoption Element #13: Physically Disabled

- Must be "0" or "1"

Adoption Element #14: Emotionally Disturbed

- Must be "0" or "1"

Adoption Element #15: Other Clinically Diagnosed Condition

- Must be "0" or "1"

Adoption Element #16: Mother's Year of Birth

- Must be before current year
- Must be 1900 or after

Adoption Element #17: Father's Year of Birth

- Must be before current year
- Must be 1900 or after

Adoption Element #18: Was Mother Married at Time of Birth

- Must be "1", "2", or "3"

Adoption Element #19: Date of Mother's Termination of Parental Rights

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Adoption Element #20: Date of Father's Termination of Parental Rights

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Adoption Element #21: Date of Adoption Legalization

- Must be a valid date
- Must be before current date
- Must be on or after 10/1/1994

Adoption Element #22: Adoptive Family Structure

- Must be "1", "2", "3", or "4"

Adoption Element #23: Adoptive Mother's Year of Birth

- Must be before current year
- Must be 1900 or after

Adoption Element #24: Adoptive Father's Year of Birth

- Must be before current year
- Must be 1900 or after

Adoption Element #25a: Adoptive Mother's Race - American Indian or Alaska Native

- Must be "0" or "1"

Adoption Element #25b: Adoptive Mother's Race - Asian

- Must be "0" or "1"

Adoption Element #25c: Adoptive Mother's Race - Black or African American

- Must be "0" or "1"

Adoption Element #25d: Adoptive Mother's Race - Native Hawaiian or Other Pacific Islander

- Must be "0" or "1"

Adoption Element #25e: Adoptive Mother's Race - White

- Must be "0" or "1"

Adoption Element #25f: Adoptive Mother's Race - Unable to Determine

- Must be "0" or "1"

Adoption Element #26: Adoptive Mother's Hispanic or Latino Origin

- Must be "0", "1", "2", or "3"

Adoption Element #27a: Adoptive Father's Race - American Indian or Alaska Native

- Must be "0" or "1"

Adoption Element #27b: Adoptive Father's Race - Asian

- Must be "0" or "1"

Adoption Element #27c: Adoptive Father's Race - Black or African American

- Must be "0" or "1"

Adoption Element #27d: Adoptive Father's Race - Native Hawaiian or Other Pacific Islander

- Must be "0" or "1"

Adoption Element #27e: Adoptive Father's Race - White

- Must be "0" or "1"

Adoption Element #27f: Adoptive Father's Race - Unable to Determine

- Must be "0" or "1"

Adoption Element #28: Adoptive Father's Hispanic or Latino Origin

- Must be "0", "1", "2", or "3"

Adoption Element #29: Adoptive Parent Previously Related to Child as Stepparent

- Must be "0" or "1"

Adoption Element #30: Adoptive Parent Previously Related to Child as Other Relative

- Must be "0" or "1"

Adoption Element #31: Adoptive Parent Previously Related to Child as Foster Parent

- Must be "0" or "1"

Adoption Element #32: Adoptive Parent Previously Related to Child as Other Non-Relative

- Must be "0" or "1"

Adoption Element #33: Child Was Placed from

- Must be "1", "2", or "3"
- Should not be "another state"

Adoption Element #34: Child Was Placed by

- Must be "1", "2", "3", "4", or "5"

Adoption Element #35: Is Subsidy Being Paid on Behalf of Child

- Must be "1" or "2"

Adoption Element #36: Monthly Subsidy Amount

- Must be a whole number between 00000 - 99999

Adoption Element #37: Is Child Receiving Adoption Assistance

- Must be "1" or "2"

Appendix A:

3. Cross-File Checks – Adoption

Cross-File Checks - Adoption

NOTE: Cross-file checks include only those records that have **valid** data for the element in question. If the value in the element is either: 1) blank; 2) out of range; 3) a likely error due to inconsistency with other data; or 4) has been marked as not applicable, that record will not be included in the cross-file check. If every record is excluded by one of the four conditions above, a message will be printed that says "No valid data submitted for [the element name]." It also should be noted that the utility is designed to flag data that may not be typical for most title IV-E agencies in order to call attention to the possibility of an error. For example, there may be legitimate instances where all records contain the same value for an element.

Adoption Element #1: Title IV-E Agency

No cross-file checks are performed on adoption element #1

Adoption Element #2: Report Period End Date

- Every record must have the same date

Adoption Element #3: Record Number

- No more than one record can have a record number filled with blanks

Adoption Element #4: Title IV-E Agency Involvement

- All records must not be coded as "No"

Adoption Element #5: Child's Birth Date

- All records must not have the same year

Adoption Element #6: Child's Sex

- All records should not have the same value

Adoption Element #7: Child's Race

- All records should not have only one category marked as "applies". This implies that the State has no multi-racial children.

Adoption Element #7a: Child's Race - American Indian or Alaska Native

- All records should not have the same value

Adoption Element #7b: Child's Race - Asian

- All records should not have the same value

Adoption Element #7c: Child's Race - Black or African American

- All records should not have the same value

Adoption Element #7d: Child's Race - Native Hawaiian or Other Pacific Islander

- All records should not have the same value

Adoption Element #7e: Child's Race - White

- All records should not have the same value

Adoption Element #7f: Child's Race - Unable to Determine

- No more than 5% of the records should be coded as "unable to determine"

Adoption Element #8: Child's Hispanic or Latino Origin

- No more than 5% of the records should be coded as "unable to determine"
- All records should not have the same value

Adoption Element #9: Has Agency Determined Special Needs

- All records should not have the same value

Adoption Element #10: Primary Basis for Determining Special Needs

- All records should not have the same value

Adoption Element #11: Mental Retardation

- All records should not have the same value

Adoption Element #12: Visually/Hearing Impaired

- All records should not have the same value

Adoption Element #13: Physically Disabled

- All records should not have the same value

Adoption Element #14: Emotionally Disturbed

- All records should not have the same value

Adoption Element #15: Other Clinically Diagnosed Condition

- All records should not have the same value

Adoption Element #16: Mother's Year of Birth

- All records should not have the same value
- No more than 10% of the records should have this element blank

Adoption Element #17: Father's Year of Birth

- All records should not have the same value
- No more than 10% of the records should have this element blank

Adoption Element #18: Was Mother Married at Time of Birth

- All records should not have the same value
- No more than 10% of the records should be coded as "unable to determine"

Adoption Element #19: Date of Mother's Termination of Parental Rights

- All records should not have the same date

- All records that have valid dates entered for *both* adoption elements #19 and #20 should not have the same date entered in both

Adoption Element #20: Date of Father's Termination of Parental Rights

- All records should not have the same date
- All records that have valid dates entered for *both* adoption elements #19 and #20 should not have the same date entered in both

Adoption Element #21: Date of Adoption Legalization

- All records should not have the same date

Adoption Element #22: Adoptive Family Structure

- All records should not be coded as "unmarried couple"
- All records should not be coded as "single female"
- All records should not be coded as "single male"

Adoption Element #23: Adoptive Mother's Year of Birth

- All records should not have the same year

Adoption Element #24: Adoptive Father's Year of Birth

- All records should not have the same year

Adoption Element #25: Adoptive Mother's Race

- All records should not have only one category marked as "applies". This implies that the State has no multi-racial population.

Adoption Element #25a: Adoptive Mother's Race - American Indian or Alaska Native

- All records should not have the same value

Adoption Element #25b: Adoptive Mother's Race - Asian

- All records should not have the same value

Adoption Element #25c: Adoptive Mother's Race - Black or African American

- All records should not have the same value

Adoption Element #25d: Adoptive Mother's Race - Native Hawaiian or Other Pacific Islander

- All records should not have the same value

Adoption Element #25e: Adoptive Mother's Race - White

- All records should not have the same value

Adoption Element #25f: Adoptive Mother's Race - Unable to Determine

- No more than 10% of the records should be coded as "unable to determine"

Adoption Element #26: Adoptive Mother's Hispanic or Latino Origin

- All records should not have the same value
- No more than 10% of the records should be coded as "unable to determine"

Adoption Element #27: Adoptive Father's Race

- All records should not have only one category marked as "applies". This implies that the State has no multi-racial population.

Adoption Element #27a: Adoptive Father's Race - American Indian or Alaska Native

- All records should not have the same value

Adoption Element #27b: Adoptive Father's Race - Asian

- All records should not have the same value

Adoption Element #27c: Adoptive Father's Race - Black or African American

- All records should not have the same value

Adoption Element #27d: Adoptive Father's Race - Native Hawaiian or Other Pacific Islander

- All records should not have the same value

Adoption Element #27e: Adoptive Father's Race - White

- All records should not have the same value

Adoption Element #27f: Adoptive Father's Race - Unable to Determine

- No more than 10% of the records should be coded as "unable to determine"

Adoption Element #28: Adoptive Father's Hispanic or Latino Origin

- All records should not have the same value
- No more than 10% of the records should be coded as "unable to determine"

Adoption Element #29: Adoptive Parent Previously Related to Child as Stepparent

- All records should not have the same value

Adoption Element #30: Adoptive Parent Previously Related to Child as Other Relative

- All records should not have the same value

Adoption Element #31: Adoptive Parent Previously Related to Child as Foster Parent

- All records should not have the same value

Adoption Element #32: Adoptive Parent Previously Related to Child as Other Non-Relative

- All records should not have the same value

Adoption Element #33: Child Was Placed from

- All records should not be coded as “another country”

Adoption Element #34: Child Was Placed by

- All records should not be coded as “private agency”
- All records should not be coded as “tribal agency”
- All records should not be coded as “independent person”
- All records should not be coded as “birth parent”

Adoption Element #35: Is Subsidy Being Paid on Behalf of Child

- All records should not be coded as “no”

Adoption Element #36: Monthly Subsidy Amount

- All records should not have the same value

Adoption Element #37: Is Child Receiving Adoption Assistance

- All records should not be coded as “no”

Appendix A:

4. Consistency Check Groups – Adoption

Consistency Check Groups - Adoption

TABLE OF ADOPTION GROUP CHECKS:

GROUP CHECK NUMBER	DESCRIPTION	PAGE NUMBER
01	Checks the logical order among related date Elements.	31
02	Checks the logical relationship among Elements reporting information on title IV-E agency involvement.	32
03	Checks the logical relationship among Elements reporting information on special needs children.	32
04	Checks the logical relationship among Elements reporting information on adoptive parents and family structure.	32
05	Checks the logical relationship among Elements reporting information on child's relationship with adoptive parents.	33
06	Checks the logical relationship among Elements reporting information on payments of subsidy/Title IV-E adoption assistance.	33
07	Checks the logical relationship among data Elements reporting race information for the child and adoptive parents.	33

ADOPTION GROUP #1 (marked as "a" or "A" in the Detailed Data Quality Report)

Adoption Elements Involved:

- #02 Report Period End Date
- #05 Child's Birth Date
- #16 Mother's Birth Year
- #17 Father's Birth Year
- #19 Date of Mother's Termination of Parental Rights
- #20 Date of Father's Termination of Parental Rights
- #21 Date of Adoption Legalization
- #23 Adoptive Mother's Birth Year
- #24 Adoptive Father's Birth Year

Principle of Check:

All dates should be logically ordered. For example, the Legalization Date must fall on or after both Dates of Termination of Parental Rights. Also, the length of time between dates should be within a reasonable range. For example, the Child's Birth Year should not be more than 18 years before the Legalization Date. This check identifies the date(s) that appear to be "out of place."

ADOPTION GROUP #2 (marked as "b" or "B" in the Detailed Data Quality Report)**Adoption Elements Involved:**

- #04 Title IV-E Agency Involvement
- #31 Adoptive Parent Was Previously Related to the Child as a Foster Parent
- #34 Child Was Placed By
- #35 Is a Subsidy Being Paid on Behalf of the Child

Principle of Check:

If one or more of the following applies to the question "Was the title IV-E agency involved in this adoption?": 1) adoptive parent was previously related to the child as a foster parent; 2) if the child was placed by a public agency; or 3) if a subsidy is being paid on behalf of the child; the answer should be "yes".

ADOPTION GROUP #3 (marked as "c" or "C" in the Detailed Data Quality Report)**Adoption Elements Involved:**

- #09 Has the Agency Determined if the Child Is Special Needs
- #10 Primary Basis for Determining Special Needs
- #11 Mental Retardation
- #12 Visually/Hearing Impaired
- #13 Physically Disabled
- #14 Emotionally Disturbed
- #15 Other Medically Diagnosed Condition

Principle of Check:

If the answer to the question "Has the agency determined if this child is special needs?" is "yes", then the primary basis for making this determination should be given. If the primary basis is "medical", at least one of the disabilities (adoption elements #11 - 15) should apply. On the other hand, if a child is indicated as having a type of disability (such as visual or hearing impairment), then the agency would most likely have determined him or her to be special needs (though perhaps for a reason other than medical, such as age or racial group).

ADOPTION GROUP #4 (marked as "d" or "D" in the Detailed Data Quality Report)**Adoption Elements Involved:**

- #22 Foster Caretaker Family Structure
- #23 Adoptive Mother's Birth Year
- #24 Adoptive Father's Birth Year
- #25a, b, c, d, e, f Adoptive Mother's Race
- #26 Adoptive Mother's Hispanic or Latino Origin
- #27a, b, c, d, e, f Adoptive Father's Race
- #28 Adoptive Father's Hispanic or Latino Origin

Principle of Check:

If the family structure is "married couple" or "unmarried couple" then all of the

information should be filled out for both adoptive parents (adoption elements #23-28). If the family structure is "single female" then all of the information on the adoptive mother (and none of the information on the adoptive father) should be filled out. If the family structure is "single male" then all of the information on the adoptive father (and none of the information on the adoptive mother) should be filled out.

ADOPTION GROUP #5 (marked as "e" or "E" in the Detailed Data Quality Report)

Adoption Elements Involved:

- #29 Adoptive Parent Was Previously Related to the Child as a Stepparent
- #30 Adoptive Parent Was Previously Related to the Child as an Other Relative
- #31 Adoptive Parent Was Previously Related to the Child as a Foster Parent
- #32 Adoptive Parent Was Previously Related to the Child as an Other Non-Relative

Principle of Check:

At least one but no more than two of the choices given for the previous relationship of the adoptive parent to the child should be chosen.

ADOPTION GROUP #6 (marked as "f" or "F" in the Detailed Data Quality Report)

Adoption Elements Involved:

- #35 Is a Monthly Subsidy Being Paid on Behalf of the Child
- #36 Amount of Monthly Subsidy
- #37 Is the Child Receiving Title IV-E Adoption Assistance

Principle of Check:

If there is no monthly subsidy being paid on behalf of the child, there should be no monthly amount and the child should not be receiving Title IV-E Adoption Assistance. Conversely, if the child is receiving Title IV-E Adoption Assistance, there should be a monthly amount and there should be a monthly subsidy being paid on behalf of the child. However, there can be a monthly amount and a subsidy being paid on behalf of the child and the child may *not* be receiving Title IV-E Adoption Assistance.

ADOPTION GROUP #7 (marked as "g" or "G" in the Detailed Data Quality report)

Adoption Elements Involved:

- #7a, b, c, d, e, f Child's Race
- #25a, b, c, d, e, f Adoptive Mother's Race
- #27a, b, c, d, e, f Adoptive Father's Race

Principle of Check:

For each race element (adoption elements #7, #25, and #27), if at least one race

category („a“„e“) applies, then “Unable to Determine” („f“) should not apply. For each race element, if “Unable to Determine” („f“) applies, then no race category („a“„e“) should apply. Moreover, if all race categories for a race element are a combination of all blanks and does not apply (coded as “0”) or all does not apply (all “0”s), this will result in an error.

Appendix B:

1. Sample Reports - Foster Care

Date: 02/03/2012 09:17AM
 File: Test_File.dat

AFCARS Foster Care
 Detailed Data Quality Report (Version 2.1)

Report Period Ending Date: March, 2012

Legend:

- 1 = Missing Data.
- 2 = Out of Range error. This data will be deleted from the file prior to being loaded into the AFCARS database.

Inconsistent Data

- A or a = Most likely error causing inconsistency in Group #1 (Elements 2, 5, 6, 18, 20 - 23, 45 - 48, 50-51, and 56-57.)
- B or b = Most likely error causing inconsistency in Group #2 (Elements 10 - 15 and 33.)
- C or c = Most likely error causing inconsistency in Group #3 (Elements 16 - 17.)
- D or d = Most likely error causing inconsistency in Group #4 (Elements 18 - 21.)
- E or e = Most likely error causing inconsistency in Group #5 (Elements 21 and 23 - 24.)
- F or f = Most likely error causing inconsistency in Group #6 (Elements 2, 5, 21, 25, 43, and 56.)
- G or g = Most likely error causing inconsistency in Group #7 (Elements 26 - 40.)
- H or h = Most likely error causing inconsistency in Group #8 (Elements 44 - 46.)
- I or i = Most likely error causing inconsistency in Group #9 (Elements 41 and 49 - 55.)
- J or j = Most likely error causing inconsistency in Group #10 (Elements 43, 47 - 48, and 58.)
- K or k = Most likely error causing inconsistency in Group #11 (Elements 56 - 58.)
- M or m = Most likely error causing inconsistency in Group #12 (Elements 59 - 66.)
- N or n = Inconsistency error within an element in Group #13 (Elements 8, 52 and 54.)

NOTE: An upper case letter indicates that the data will be deleted if submitted to federal AFCARS.

Record #	----- Element Number -----																						
	0			10			20			30			40			50			60				
	12345678	abcde	f9	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789	0123456789		
1a..	.1111n.							2..	22												
2a..								2..													
3a..								2.i	i2	iiiiiii	iiiiiii										
4a..								2.i	ii	iiiiiii	iiiiiii										
5a..								2..	2.i	22		iiiiiii									
6a..								2..													
7a..								2.i	2i	iiiiiii	iiiiiii										
8a..								2.i	ii	iiiiiii	iiiiiii										
9a..								2.i	ii	iiiiiii	iiiiiii										
10a..								2.i	ii	iiiiiii	iiiiiii										
11a..								2..													
12a..								2.i	2.	iiiiiii											
13a..								2.i	.i		iiiiiii										
14a..								2.i	.i		iiiiiii										
15a..								2.i	ii	iiiiiii	iiiiiii										
16a..								2..			nnnnn										
17a..	111111.							2.i	2.	iiiiiii											
18a..	nnnnnn.							2.i	.i		iiiiiii										
19a..	n1n1n1.							2.i	.i		iiiiiii										
20a..	1nnn11.							2.i	ii	iiiiiii	iiiiiii										
21a..	111111.							2..			nnnnn										
22a..	n11111.							2.i	ii	iiiiiii	iiiiiii										
23a..	11111n.							2.i													
24a..	nnnnnn.							2.2													
25a..	111111.							2..	2.i	.i		iiiiiii									
26a..	111111.							2..		2..											
27a..	11nnnn.							2.i	2i	iii2ii2	iii2iii										
28a..	nn11nn.							2.i	ii	iii2iii	iiiiii2.										
29a..	nnnnnn.							2.i	2.n.	iiiiii2.										
30a..	111111.							2.i	ii	iiiiiii	iiiiiii										
31a..	nn1n1n.							2.i	ii	iiiiiii	iiiiiii										
32a..	111111.							2.i													
33a..	211111.							2.i													

34a..	111112.	2.i	.i	iiiiiii.
35a..	112111.	2.i	i.	iiiiiii
36a..	111121.	2.i	ii	iiiiiii	iiiiiii.
37a..2.	2.i	ii	iiiiiii	iiiiiii.
38a..	21.11n.	2.i	i.	iiiiiii
39a..	112111.	2.i	.i	iiiiiii
40a..	..2....	2.i	ii	iiiiiii	iiiiiii.
41a..2.	2.i	ii	iiiiiii	iiiiiii.
42a..	2.....	2..	..	nnnnnn.
43a..	2.....	2..	..	nnnnnn.
44a..	..12...	2..	nnnnnn.
45a..	..2....	2..	nnnnnn.
46a.1	..2.1.	2..	2.	nnnnnn.
47a.1	11211n.	2..	2.	nnnnnn.
48a..	2.....	2..	.2	nnnnnn.
49a..	..1111n.	2..	.2	nnnnnn.
50a..n.	2.i	i2	iiiiiii	nnnnnn.
51a..n.	2.i	i2	iiiiiii	nnnnnn.
52a..	1.1.1n.	2.i	i.	iiiiiii	nnnnnn.
53a..1n.	2.i	i.	iiiiiii	nnnnnn.
54a..	..1111n.	2.i	.2	iiiiiii.
55a..n.	2.i	ii	iiiiiii	iii2ii.
56a..	1111.n.	2.i	22	iiiiiii	nnnnnn.
57a..	1111.n.	2.i	i.	iiiiiii	nnnnnn.
58a..n.	2.i	22	iiiiiii
59a..n.	2.i	i.	iiiiiii
60a..	111.n.	2..	22	iiiiiii
61a..n.	2..
62a..	1111.n.1....	2.i	i.	iiiiiii
63a..	..1111n.	2....	2..
64a..n.1....	2.i
65a..	2..	..	nnnnnn.
66a..	2.i	.i	nnnnnn.	iiiiiii.

Date: 02/03/2012 09:17AM
 File: Test_File.dat

AFCARS Foster Care
 Summary Data Quality Report

Report Period Ending Date: March, 2012

Cross File Checks and Errors

Check and Error Description

- Element 03: All records have the same local agency code.
- Element 05: No valid information submitted for review date.
- Element 07: All records have the same gender.
- Element 08: 33 record(s) of 44 valid record(s) (75.00%) have the child's race as multi-racial.
- Element 09: All records have child's Hispanic or Latino origin coded as "yes."
- Element 10: All records indicate the child has a disability.
- Element 11: All records indicate the child has mental retardation.
- Element 12: All records indicate the child is visually/hearing impaired.
- Element 13: All records indicate the child is physically disabled.
- Element 16: 100.00% of the records indicate the child has been previously adopted.
- Element 17: All records indicate the child was previously adopted in the same age range.
- Element 18: All records indicate the child was first removed in the same YEAR.
- Element 19: All records have the same number of removals.
- Element 20: All records have the same previous discharge YEAR.
- Element 21: All records have the same latest removal YEAR.
- Element 22: All records have the same transaction YEAR.
- Element 23: All records have the same placement YEAR.
- Element 24: All records have the same number of placements.
- Element 25: All records have removal from home coded as "voluntary."

- Element 26: All records indicate physical abuse as a condition associated with removal.
- Element 43: All records have the same case plan goal.
- Element 44: All records have the same primary caretaker family structure.
- Element 45: All records have the same birth year entered for the 1st primary caretaker.
- Element 46: All records have the same birth year entered for the 2nd primary caretaker.
- Element 47: No valid information submitted for TPR for the mother.
- Element 48: All records have the same YEAR of TPR for the father.
- Element 50: All records have the same birth year for the 1st foster caretaker.
- Element 51: All records have the same birth year for the 2nd foster caretaker.
- Element 52: 15 record(s) from a total of 22 record(s) (68.18%) have the 1st foster caretaker's race as multi-racial.
- Element 54: 10 record(s) from a total of 15 record(s) (66.67%) have the 2nd foster caretaker's race as multi-racial.
- Element 54f: 15.79% of the records have the 2nd foster caretaker's race coded as "unable to determine."
- Element 56: All records have the same discharge date.
- Element 57: All records have the same discharge transaction date.
- Element 58: All records have the same reason for discharge.
- Element 60: All records have the same value for title IVE - adoption.
- Element 61: All records have the same value for title IVA - AFDC.
- Element 62: All records have the same value for title IVD - child support.
- Element 63: All records have Medicaid coded as "does not apply."
- Element 64: All records have the same value for SSI.
- Element 66: All records have the same monthly amount.

Data Errors for 00000066 Records

Data Element	Missing Data	Out-of Range	Likely Error	Total Errors	% of Total Records	Cleaned Errors
1	0	0	0	0	0.00	0
2	0	0	0	0	0.00	0
3	0	0	0	0	0.00	0
4	0	0	0	0	0.00	0
5	0	0	66	66	100.00	0
6	0	0	0	0	0.00	0
7	2	0	0	2	3.03	0
8a	19	5	7	31	46.97	5
8b	25	0	6	31	46.97	0
8c	23	5	6	34	51.52	5
8d	24	2	6	32	48.48	2
8e	22	1	6	29	43.94	1
8f	14	3	26	43	65.15	3
9	0	0	0	0	0.00	0
10	0	0	0	0	0.00	0
11	0	0	0	0	0.00	0
12	0	0	0	0	0.00	0
13	0	0	0	0	0.00	0
14	0	0	0	0	0.00	0
15	0	0	0	0	0.00	0
16	0	0	0	0	0.00	0
17	0	0	0	0	0.00	0
18	0	0	0	0	0.00	0
19	0	0	0	0	0.00	0
20	0	0	0	0	0.00	0
21	0	0	0	0	0.00	0
22	0	0	0	0	0.00	0
23	0	0	0	0	0.00	0
24	0	0	0	0	0.00	0
25	0	0	0	0	0.00	0
26	0	0	0	0	0.00	0
27	0	0	0	0	0.00	0
28	0	0	0	0	0.00	0
29	0	0	0	0	0.00	0
30	0	0	0	0	0.00	0
31	0	0	0	0	0.00	0

32	0	0	0	0	0.00		0
33	0	0	0	0	0.00		0
34	0	0	0	0	0.00		0
35	0	0	0	0	0.00		0
36	0	0	0	0	0.00		0
37	0	0	0	0	0.00		0
38	0	0	0	0	0.00		0
39	0	0	0	0	0.00		0
40	0	0	0	0	0.00		0
41	2	7	0	9	13.64		7
42	0	0	0	0	0.00		0
43	0	0	0	0	0.00		0
44	0	0	0	0	0.00		0
45	0	0	0	0	0.00		0
46	0	0	0	0	0.00		0
47	0	66	0	66	100.00		66
48	0	0	0	0	0.00		0
49	0	1	47	48	72.73		1
50	0	11	25	36	54.55		11
51	0	12	25	37	56.06		12
52a	0	0	38	38	57.58		0
52b	0	0	38	38	57.58		0
52c	0	0	38	38	57.58		0
52d	0	3	36	39	59.09		3
52e	0	0	38	38	57.58		0
52f	0	0	39	39	59.09		0
53	0	1	31	32	48.48		1
54a	0	0	41	41	62.12		0
54b	0	0	41	41	62.12		0
54c	0	0	41	41	62.12		0
54d	0	1	40	41	62.12		1
54e	0	1	40	41	62.12		1
54f	0	0	41	41	62.12		0
55	0	2	27	29	43.94		2
56	0	0	0	0	0.00		0
57	0	0	0	0	0.00		0
58	0	0	0	0	0.00		0
59	0	0	0	0	0.00		0
60	0	0	0	0	0.00		0
61	0	0	0	0	0.00		0
62	0	0	0	0	0.00		0
63	0	0	0	0	0.00		0
64	0	0	0	0	0.00		0
65	0	0	0	0	0.00		0
66	0	0	0	0	0.00		0

Total	131	121	749	1001			121

* * * * *

This report is intended to identify areas in a title IV-E AFCARS data file where the data is of questionable quality. To determine if this file meets the AFCARS standards for compliance, process the data file through the title IV-E AFCARS Data Compliance Utility and check the generated report - AFCARS Data Report.

* * * * *

Footnote Errors

Error Description

-
- Footnote 001: Incorrect title IV-E Agency Code.
- Footnote 002: Incorrect title IV-E Agency Code.
- Footnote 003: Incorrect title IV-E Agency Code.
- Footnote 004: Incorrect title IV-E Agency Code.
- Footnote 005: Invalid report period end date.
- Footnote 006: Invalid report period end date.
- Footnote 007: Incorrect title IV-E Agency Code.

Footnote 007: Invalid report period end date.
Footnote 008: Incorrect title IV-E Agency Code.
Footnote 008: Invalid file type.
Footnote 009: Incorrect title IV-E Agency Code.
Footnote 009: Invalid element number.
Footnote 010: Invalid element number.
Footnote 020: Incorrect title IV-E Agency Code.
Footnote 020: Invalid file type.
Footnote 030: Invalid file type.
Footnote 033: Incorrect title IV-E Agency Code.
Footnote 033: Invalid report period end date.
Footnote 034: Invalid report period end date.
Footnote 034: Invalid element number.
Footnote 039: Invalid report period end date.
Footnote 039: Invalid file type.
Footnote 039: Invalid element number.

Please refer to AFCARS Technical Bulletin #10 for instructions on how to properly format footnotes in an AFCARS submission file.

Appendix B :

2. Out-of-Range Checks - Foster Care

Out-of-Range Checks - Foster Care

Foster Care Element #1: Title IV-E Agency

- Must be valid title IV-E agency FIPS/other Children's Bureau identified code
- Must be correct FIPS code for State (to be compared with code entered at processing)
- Must be correct Children's Bureau identified code for Tribes (to be compared with code entered at processing)

Foster Care Element #2: Report Period End Date

- Last two characters must be "03" or "09"
- Year must be 1995 or after
- Cannot be after report period end date for current period

Foster Care Element #3: Local FIPS Code

- Must be a valid FIPS code for State
- First two digits must match State FIPS code
- Must be correct Children's Bureau identified code for Tribes (to be compared with code entered at processing)

Foster Care Element #4: Record Number

There are no out of range checks for foster care element #4

Foster Care Element #5: Date of Most Recent Periodic Review

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #6: Child's Birth Date

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #7: Child's Sex

- Must be "1" or "2"

Foster Care Element #8a: Child's Race - American Indian or Alaska Native

- Must be "0" or "1"

Foster Care Element #8b: Child's Race - Asian

- Must be "0" or "1"

Foster Care Element #8c: Child's Race - Black or African American

- Must be "0" or "1"

Foster Care Element #8d: Child's Race - Native Hawaiian or Other Pacific Islander

- Must be "0" or "1"

Foster Care Element #8e: Child's Race - White

- Must be "0" or "1"

Foster Care Element #8f: Child's Race - Unable to Determine

- Must be "0" or "1"

Foster Care Element #9: Child's Hispanic or Latino Origin

- Must be "1", "2", or "3"

Foster Care Element #10: Does Child Have Disability?

- Must be "1", "2", or "3"

Foster Care Element #11: Mental Retardation

- Must be "0" or "1"

Foster Care Element #12: Visually/Hearing Impaired

- Must be "0" or "1"

Foster Care Element #13: Physically Disabled

- Must be "0" or "1"

Foster Care Element #14: Emotionally Disturbed

- Must be "0" or "1"

Foster Care Element #15: Other Clinically Diagnosed Condition

- Must be "0" or "1"

Foster Care Element #16: Was Child Ever Adopted?

- Must be "1", "2", or "3"

Foster Care Element #17: Child's Age at Adoption

- Must be "0", "1", "2", "3", "4", or "5"

Foster Care Element #18: Date of First Removal

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #19: Total Number of Removals from Home

- Must be a number between 01 - 99

Foster Care Element #20: Date of Discharge from Last Episode

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #21: Date of Latest Removal from Home

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #22: Transaction Date of Latest Removal From Home

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #23: Date of Placement in Current Setting

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #24: Number of Placements in this Episode

- Must be a number between 01 - 99

Foster Care Element #25: Manner of Removal from Home

- Must be "1", "2", or "3"

Foster Care Element #26: Physical Abuse (Alleged/Reported)

- Must be "0" or "1"

Foster Care Element #27: Sexual Abuse (Alleged/Reported)

- Must be "0" or "1"

Foster Care Element #28: Neglect (Alleged/Reported)

- Must be "0" or "1"

Foster Care Element #29: Alcohol Abuse (Parent)

- Must be "0" or "1"

Foster Care Element #30: Drug Abuse (Parent)

- Must be "0" or "1"

Foster Care Element #31: Alcohol Abuse (Child)

- Must be "0" or "1"

Foster Care Element #32: Drug Abuse (Child)

- Must be "0" or "1"

Foster Care Element #33: Child Disability

- Must be "0" or "1"

Foster Care Element #34: Child Behavior Problem

- Must be "0" or "1"

Foster Care Element #35: Death of Parent

- Must be "0" or "1"

Foster Care Element #36: Incarceration of Parent

- Must be "0" or "1"

Foster Care Element #37: Caretaker Inability to Cope

- Must be "0" or "1"

Foster Care Element #38: Abandonment

- Must be "0" or "1"

Foster Care Element #39: Relinquishment

- Must be "0" or "1"

Foster Care Element #40: Inadequate Housing

- Must be "0" or "1"

Foster Care Element #41: Current Placement Setting

- Must be "1", "2", "3", "4", "5", "6", "7", or "8"

Foster Care Element #42: Out-of-State/Tribal Service Area Placement

- Must be "1" or "2"

Foster Care Element #43: Case Plan Goal

- Must be "1", "2", "3", "4", "5", "6", or "7"

Foster Care Element #44: Caretaker Family Structure

- Must be "1", "2", "3", "4", or "5"

Foster Care Element #45: 1st Primary Caretaker's Birth Year

- Must be before current year
- Must be 1900 or after

Foster Care Element #46: 2nd Primary Caretaker's Birth Year

- Must be before current year
- Must be 1900 or after

Foster Care Element #47: Mother's Date of Termination of Parental Rights

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #48: Father's Date of Termination of Parental Rights

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #49: Foster Family Structure

- Must be "0", "1", "2", "3", or "4"

Foster Care Element #50: 1st Foster Caretaker's Birth Year

- Must be before current year
- Must be 1900 or after

Foster Care Element #51: 2nd Foster Caretaker's Birth Year

- Must be before current year
- Must be 1900 or after

Foster Care Element #52a: 1st Foster Caretaker's Race - American Indian or Alaska Native

- Must be "0" or "1"

Foster Care Element #52b: 1st Foster Caretaker's Race - Asian

- Must be "0" or "1"

Foster Care Element #52c: 1st Foster Caretaker's Race - Black or African American

- Must be "0" or "1"

Foster Care Element #52d: 1st Foster Caretaker's Race - Native Hawaiian or Other Pacific Islander

- Must be "0" or "1"

Foster Care Element #52e: 1st Foster Caretaker's Race - White

- Must be "0" or "1"

Foster Care Element #52f: 1st Foster Caretaker's Race - Unable to Determine

- Must be "0" or "1"

Foster Care Element #53: 1st Foster Caretaker's Hispanic or Latino Origin

- Must be "0", "1", "2", or "3"

Foster Care Element #54a: 2nd Foster Caretaker's Race - American Indian or Alaska Native

- Must be "0" or "1"

Foster Care Element #54b: 2nd Foster Caretaker's Race - Asian

- Must be "0" or "1"

Foster Care Element #54c: 2nd Foster Caretaker's Race - Black or African American

- Must be "0" or "1"

Foster Care Element #54d: 2nd Foster Caretaker's Race - Native Hawaiian or Other Pacific Islander

- Must be "0" or "1"

Foster Care Element #54e: 2nd Foster Caretaker's Race - White

- Must be "0" or "1"

Foster Care Element #54f: 2nd Foster Caretaker's Race - Unable to Determine

- Must be "0" or "1"

Foster Care Element #55: 2nd Foster Caretaker's Hispanic or Latino Origin

- Must be "0", "1", "2", or "3"

Foster Care Element #56: Date of Discharge

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #57: Transaction Date of Discharge

- Must be a valid date
- Must be before current date
- Year must be 1900 or after

Foster Care Element #58: Reason for Discharge

- Must be "0", "1", "2", "3", "4", "5", "6", "7", or "8"

Foster Care Element #59: Title IV-E (Foster Care)

- Must be "0" or "1"

Foster Care Element #60: Title IV-E (Adoption Assistance)

- Must be "0" or "1"

Foster Care Element #61: Title IV-A (AFDC)

- Must be "0" or "1"

Foster Care Element #62: Title IV-D (Child Support)

- Must be "0" or "1"

Foster Care Element #63: Title XIX (Medicaid)

- Must be "0" or "1"

Foster Care Element #64: SSI or Other Social Security

- Must be "0" or "1"

Foster Care Element #65: None of the Above

- Must be "0" or "1"

Foster Care Element #66: Monthly Amount

- Must be a whole number between 00000 - 99999

Appendix B:

3. Cross-File Checks - Foster Care

Cross-File Checks - Foster Care

NOTE: Cross-file checks include only those records that have **valid** data for the element in question. If the value in the element is either: 1) blank; 2) out of range; 3) a likely error due to inconsistency with other data; or 4) has been marked as not applicable, that record will not be included in the cross-file check. If every record is excluded by one of the four conditions above, a message will be printed that says "No valid data submitted for [the element name]." It also should be noted that the utility is designed to flag data that may not be typical for most title IV-E agencies in order to call attention to the possibility of an error. For example, there may be legitimate instances where all records contain the same value for an element.

Foster Care Element #1: Title IV-E Agency

No cross-file checks are performed on this element

Foster Care Element #2: Report Period End Date

- All records must have the same date

Foster Care Element #3: Local FIPS Code

- All records should not have the same FIPS/Children's Bureau assigned code

Foster Care Element #4: Record Number

- No more than one record should have a record number filled with blanks

Foster Care Element #5: Date of Most Recent Periodic Review

- All records should not have the same date

Foster Care Element #6: Child's Birth Date

- All records should not have the same year

Foster Care Element #7: Child's Sex

- All records should not have the same value

Foster Care Element #8: Child's Race

- All records should not have only one category marked "applies". This implies that the State has no multi-racial children.

Foster Care Element #8a: Child's Race - American Indian or Alaska Native

- All records should not have the same value

Foster Care Element #8b: Child's Race - Asian

- All records should not have the same value

Foster Care Element #8c: Child's Race - Black or African American

- All records should not have the same value

Foster Care Element #8d: Child's Race - Native Hawaiian or Other Pacific Islander

- All records should not have the same value

Foster Care Element #8e: Child's Race - White

- All records should not have the same value

Foster Care Element #8f: Child's Race - Unable to Determine

- No more than 5% of the records should be coded as "unable to determine"

Foster Care Element #9: Child's Hispanic or Latino Origin

- No more than 5% of the records should be coded as "unable to determine"
- All records should not have the same value

Foster Care Element #10: Does Child Have Disability

- All records should not have the same value

Foster Care Element #11: Mental Retardation

- All records should not have the same value

Foster Care Element #12: Visually/Hearing Impaired

- All records should not have the same value

Foster Care Element #13: Physically Disabled

- All records should not have the same value

Foster Care Element #14: Emotionally Disturbed

- All records should not have the same value

Foster Care Element #15: Other Clinically Diagnosed Condition

- All records should not have the same value

Foster Care Element #16: Was Child Ever Adopted?

- All records should not have the same value
- No more than 5% of records should be coded as "yes"
- No more than 5% of records should be coded as "unable to determine"

Foster Care Element #17: Child's Age at Adoption

- All records should not have the same value

Foster Care Element #18: Date of First Removal

- All records should not have the same year

Foster Care Element #19: Total Number of Removals from Home

- All records should not indicate more than 10 removals
- All records should not have the same value

Foster Care Element #20: Date of Discharge from Last Episode

No cross-file checks are performed on this element

Foster Care Element #21: Date of Latest Removal from Home

- All records should not have the same year

Foster Care Element #22: Transaction Date of Latest Removal From Home

- All records should not have the same year

Foster Care Element #23: Date of Placement in Current Setting

- All records should not have the same year

Foster Care Element #24: Number of Placements in this Episode

- All records should not indicate more than 10 placements
- All records should not have the same value

Foster Care Element #25: Manner of Removal from Home

- All records should not be coded as "voluntary"
- All records should not be coded as "not yet determined"

Foster Care Element #26: Physical Abuse (Alleged/Reported)

- All records should not be coded as "applies"

Foster Care Element #27: Sexual Abuse (Alleged/Reported)

- All records should not be coded as "applies"

Foster Care Element #28: Neglect (Alleged/Reported)

- All records should not be coded as "applies"

Foster Care Element #29: Alcohol Abuse (Parent)

- All records should not be coded as "applies"

Foster Care Element #30: Drug Abuse (Parent)

- All records should not be coded as "applies"

Foster Care Element #31: Alcohol Abuse (Child)

- All records should not be coded as "applies"

Foster Care Element #32: Drug Abuse (Child)

- All records should not be coded as "applies"

Foster Care Element #33: Child Disability

- All records should not be coded as "applies"

Foster Care Element #34: Child Behavior Problem

- All records should not be coded as "applies"

Foster Care Element #35: Death of Parent

- All records should not be coded as “applies”

Foster Care Element #36: Incarceration of Parent

- All records should not be coded as “applies”

Foster Care Element #37: Caretaker Inability to Cope

- All records should not be coded as “applies”

Foster Care Element #38: Abandonment

- All records should not be coded as “applies”

Foster Care Element #39: Relinquishment

- All records should not be coded as “applies”

Foster Care Element #40: Inadequate Housing

- All records should not be coded as “applies”

Foster Care Element #41: Current Placement Setting

- All records should not have the same value

Foster Care Element #42: Out-of-State/Tribal Service Area Placement

- All records should not indicate “yes”

Foster Care Element #43: Case Plan Goal

- All records should not have the same value

Foster Care Element #44: Caretaker Family Structure

- All records should not have the same value
- No more than 10% of the records should be coded as “unable to determine”

Foster Care Element #45: 1st Primary Caretaker's Birth Year

- All records should not have the same year

Foster Care Element #46: 2nd Primary Caretaker's Birth Year

- All records should not have the same year

Foster Care Element #47: Mother's Date of Termination of Parental Rights

- All records should not have the same year
- All records that have valid dates entered for *both* foster care elements #47 and #48 should not have the same date in both

Foster Care Element #48: Father's Date of Termination of Parental Rights

- All records should not have the same year

- All records that have valid dates entered for *both* foster care elements #47 and #48 should not have the same date in both

Foster Care Element #49: Foster Family Structure

- All records should not have the same value

Foster Care Element #50: 1st Foster Caretaker's Birth Year

- All records should not have the same year

Foster Care Element #51: 2nd Foster Caretaker's Birth Year

- All records should not have the same year

Foster Care Element #52: 1st Foster Caretaker's Race

- All records should not have only one category marked as "applies". This implies that the State has no multi-racial population.

Foster Care Element #52a: 1st Foster Caretaker's Race - American Indian or Alaska Native

- All records should not have the same value

Foster Care Element #52b: 1st Foster Caretaker's Race - Asian

- All records should not have the same value

Foster Care Element #52c: 1st Foster Caretaker's Race - Black or African American

- All records should not have the same value

Foster Care Element #52d: 1st Foster Caretaker's Race - Native Hawaiian or Other Pacific Islander

- All records should not have the same value

Foster Care Element #52e: 1st Foster Caretaker's Race - White

- All records should not have the same value

Foster Care Element #52f: 1st Foster Caretaker's Race - Unable to Determine

- No more than 10% of the records should be coded as "unable to determine"

Foster Care Element #53: 1st Foster Caretaker's Hispanic or Latino Origin

- All records should not have the same value
- No more than 10% of the records should be coded as "unable to determine"

Foster Care Element #54: 2nd Foster Caretaker's Race

- All records should not have only one category marked as "applies". This implies that the State has no multi-racial population.

Foster Care Element #54a: 2nd Foster Caretaker's Race - American Indian or Alaska Native

- All records should not have the same value

Foster Care Element #54b: 2nd Foster Caretaker's Race - Asian

- All records should not have the same value

Foster Care Element #54c: 2nd Foster Caretaker's Race - Black or African American

- All records should not have the same value

Foster Care Element #54d: 2nd Foster Caretaker's Race - Native Hawaiian or Other Pacific Islander

- All records should not have the same value

Foster Care Element #54e: 2nd Foster Caretaker's Race - White

- All records should not have the same value

Foster Care Element #54f: 2nd Foster Caretaker's Race - Unable to Determine

- No more than 10% of the records should be coded as "unable to determine"

Foster Care Element #55: 2nd Foster Caretaker's Hispanic or Latino Origin

- All records should not have the same value
- No more than 10% of the records should be coded as "unable to determine"

Foster Care Element #56: Date of Discharge

- All records should not have the same date

Foster Care Element #57: Transaction Date of Discharge

- All records should not have the same date

Foster Care Element #58: Reason for Discharge

- All records should not have the same value

Foster Care Element #59: Title IV-E (Foster Care)

- All records should not be coded as "does not apply"

Foster Care Element #60: Title IV-E (Adoption Assistance)

- All records should not have the same value

Foster Care Element #61: Title IV-A (AFDC)

- All records should not have the same value

Foster Care Element #62: Title IV-D (Child Support)

- All records should not have the same value

Foster Care Element #63: Title XIX (Medicaid)

- All records should not be coded as "does not apply"

Foster Care Element #64: SSI or Other Social Security

- All records should not have the same value

Foster Care Element #65: None of the Above

- All records should not be coded as “applies”

Foster Care Element #66: Monthly Amount

- All records should not have the same value

Appendix B :

4. Consistency Check Groups - Foster Care

Consistency Check Groups - Foster Care

TABLE OF FOSTER CARE GROUP CHECKS:

GROUP CHECK NUMBER	DESCRIPTION	PAGE NUMBER
01	Checks the logical order among related date Elements.	59
02	Checks the logical relationship among Elements reporting information on child's disabilities.	59
03	Checks the logical relationship among Elements reporting information on child's adoption history.	60
04	Checks the logical relationship among Elements reporting information on child's removal and discharge from home.	60
05	Checks the logical relationship among Elements reporting information on child's latest removal date, date of placement in current setting and number of placements.	60
06	Checks the logical relationship among Elements reporting information on manner of removal and case plan goal with dates of latest removal, most recent periodic review and discharge.	61
07	Checks the logical relationship among Elements reporting information on condition associated with removal.	61
08	Checks the logical relationship among Elements reporting information on caretakers' family structure and 1 st & 2 nd principal caretakers.	62
09	Checks the logical relationship among Elements reporting information on current placement setting, caretaker family structure and 1 st & 2 nd foster caretakers.	62
10	Checks the logical relationship among Elements reporting information on dates of termination of mother's/father's parental rights, reason of discharge and most recent case plan goal.	62
11	Checks the logical relationship among Elements reporting information on dates of discharge, transaction date and reason of discharge.	63
12	Checks the logical relationship among Elements reporting information on sources of Federal support and monthly amount.	63
13	Checks the logical relationship among Elements reporting race information for the child and foster caretakers.	64

FOSTER CARE GROUP #1 (marked as "a" or "A" in the Detailed Data Quality report)**Foster Care Elements Involved:**

- #02 Report Period End Date
- #05 Date of Most Recent Periodic Review
- #06 Child's Birth Date
- #18 Date of First Removal from Home
- #20 Date of Discharge from Previous Episode
- #21 Date of Latest Removal from Home
- #22 Transaction Date of Latest Removal from Home
- #23 Date of Placement in Current Setting
- #45 1st Primary Caretaker's Birth Year
- #46 2nd Primary Caretaker's Birth Year
- #47 Date of Mother's Termination of Parental Rights
- #48 Date of Father's Termination of Parental Rights
- #50 1st Foster Caretaker's Birth Year
- #51 2nd Foster Caretaker's Birth Year
- #56 Date of Discharge
- #57 Transaction Date of Discharge

Principle of Check:

All dates should be logically ordered. For example, the Discharge Date must come after the Latest Removal Date. Also, the length of time between dates should be within a reasonable range. For example, the Child's Birth Year should not be more than 21 years before his or her latest removal date. This check identifies the date(s) that appear to be "out of place."

FOSTER CARE GROUP #2 (marked as "b" or "B" in the Detailed Data Quality Report)**Foster Care Elements Involved:**

- #10 Has the Child Been Clinically Diagnosed with a Disability
- #11 Mental Retardation
- #12 Visually/Hearing Impaired
- #13 Physically Disabled
- #14 Emotionally Disturbed
- #15 Other Medically Diagnosed Condition
- #33 Condition Associated with Removal - Child Disability

Principle of Check:

If one of the conditions associated with the removal of the child was that he/she has a medically diagnosed disability, then the answer to the question "Has the child been clinically diagnosed with a disability?" should be "yes" and at least one of the disabilities (foster care elements #11-15) should be identified. Likewise, if a child is indicated as having a type of disability (such as visual or hearing impairment) the answer to "Has the child been clinically diagnosed with a disability" should be "yes". However, even though a child has been clinically diagnosed with a disability does not mean that it was a condition associated with removal.

FOSTER CARE GROUP #3 (marked as "c" or "C" in the Detailed Data Quality Report)**Foster Care Elements Involved:**

- #16 Has the Child Been Previously Adopted?
- #17 Age at that Adoption

Principle of Check:

If a child is known to have been adopted before, then the child's age at that adoption should be reported. Likewise, if a child's age at a previous adoption is known, the answer to the question "Has the child been previously adopted?" should be "yes".

FOSTER CARE GROUP #4 (marked as "d" or "D" in the Detailed Data Quality Report)**Foster Care Elements Involved:**

- #18 Date of First Removal from Home
- #19 Total Number of Removals from Home
- #20 Date of Discharge from Previous Episode
- #21 Date of Latest Removal from Home

Principle of Check:

If a child is in his or her first episode, the first removal date and the latest removal date should be the same and there should be no previous discharge date. If the child is in his or her second or more episode, the first removal date should be equal or prior to the previous discharge date which, in turn, should be equal or prior to the date of latest removal.

FOSTER CARE GROUP #5 (marked as "e" or "E" in the Detailed Data Quality Report)**Foster Care Elements Involved:**

- #21 Date of Latest Removal from Home
- #23 Date of Placement in Current Setting
- #24 Total Number of Placements in the Current Episode

Principle of Check:

If the child is in his or her first placement, the date of placement will most likely be

the same as the date of latest removal. If the child is in his or her second or more placement, the date of placement should most likely be after the date of latest removal.

FOSTER CARE GROUP #6 (marked as "F" or "F" in the Detailed Data Quality Report)

Foster Care Elements Involved:

- #02 Report Period End Date
- #05 Date of Most Recent Periodic Review
- #21 Date of Latest Removal from Home
- #25 Manner of Removal from Home
- #43 Most Recent Case Plan Goal
- #56 Date of Discharge

Principle of Check:

If the child has been in care for longer than 30 days or if there is a valid Date of Most Recent Periodic Review then the Manner of Removal cannot be "not yet determined" and the Case Plan Goal cannot be "not yet established."

A child is considered to be in care for longer than 30 days if the Discharge Date is more than 30 days after the Date of Latest Removal. If there is no Discharge Date, then the Report Period End Date is used.

A Review Date is considered to be valid if it occurred on or after the Date of Latest Removal and on or before the Discharge Date, if one is entered.

FOSTER CARE GROUP #7 (marked as "g" or "G" in the Detailed Data Quality Report)

Foster Care Elements Involved:

- #26 Condition Associated with Removal - Physical Abuse
- #27 Condition Associated with Removal - Sexual Abuse
- #28 Condition Associated with Removal - Neglect
- #29 Condition Associated with Removal - Alcohol Abuse (Parent)
- #30 Condition Associated with Removal - Drug Abuse (Parent)
- #31 Condition Associated with Removal - Alcohol Abuse (Child)
- #32 Condition Associated with Removal - Drug Abuse (Child)
- #33 Condition Associated with Removal - Child Disability
- #34 Condition Associated with Removal - Child Behavior Problem
- #35 Condition Associated with Removal - Death of Parent
- #36 Condition Associated with Removal - Incarceration of Parent
- #37 Condition Associated with Removal - Caretaker Inability to Cope
- #38 Condition Associated with Removal - Abandonment
- #39 Condition Associated with Removal - Relinquishment
- #40 Condition Associated with Removal - Inadequate Housing

Principle of Check:

At least one of the conditions associated with removal should apply.

FOSTER CARE GROUP #8 (marked as "h" or "H" in the Detailed Data Quality Report)

Foster Care Elements Involved:

- #44 Caretaker Family Structure
- #45 1st Principle Caretaker's Birth Year
- #46 2nd Principle Caretaker's Birth Year

Principle of Check:

If the Caretaker Family Structure is either "married couple" or "unmarried couple", birth years should be entered for both caretakers. If the Caretaker Family Structure is either "single female" or "single male", only one birth year should be entered. If the Caretaker Family Structure is "unable to determine" there should most likely be no birth years entered.

FOSTER CARE GROUP #9 (marked as "i" or "I" in the Detailed Data Quality Report)

Foster Care Elements Involved:

- #41 Current Placement Setting
- #49 Foster Caretaker Family Structure
- #50 1st Foster Caretaker's Birth Year
- #51 2nd Foster Caretaker's Birth Year
- #52a, b, c, d, e, f 1st Foster Caretaker's Race
- #53 1st Foster Caretaker's Hispanic or Latino Origin
- #54a, b, c, d, e, f 2nd Foster Caretaker's Race
- #55 2nd Foster Caretaker's Hispanic or Latino Origin

Principle of Check:

If the child is in a foster home type setting (pre-adoptive home, relative foster home, or non-relative foster home), there should be a family structure, and the information on the parents should be consistent with that structure (e.g., if the structure is "married couple" then all of the information should be filled out for both foster caretakers). If the child is not in a foster home (group home, institution, or supervised independent living), there should be no family structure and no information filled out for either of the caretakers.

FOSTER CARE GROUP #10 (marked as "j" or "J" in the Detailed Data Quality Report)

Foster Care Elements Involved:

- #43 Most Recent Case Plan Goal
- #47 Date of Mother's Termination of Parental Rights
- #48 Date of Father's Termination of Parental Rights
- #58 Reason for Discharge

Principle of Check:

If a child is discharged from foster care because he or she has been adopted, there should be dates of termination of parental rights for both the mother and the father and it is likely that the most recent case plan goal should be adoption. Likewise, it is unlikely that a child with a case plan goal of adoption would be discharged for some other reason and with neither of his or her parents having their parental rights terminated.

FOSTER CARE GROUP #11 (marked as “k” or “K” in the Detailed Data Quality Report)**Foster Care Elements Involved:**

- #56 Date of Discharge
- #57 Transaction Date of Discharge
- #58 Reason for Discharge

Principle of Check:

If a child has been discharged, there should be a date of discharge, a transaction date of discharge, and a reason for discharge.

FOSTER CARE GROUP #12 (marked as “m” or “M” in the Detailed Data Quality Report)⁶**Foster Care Elements Involved:**

- #59 Source of Federal Financial Support - Title IV-E (Foster Care)
- #60 Source of Federal Financial Support - Title IV-E (Adoption)
- #61 Source of Federal Financial Support - Title IV-A
- #62 Source of Federal Financial Support - Title IV-D (Child Support)
- #63 Source of Federal Financial Support - Title XIX (Medicaid)
- #64 Source of Federal Financial Support - SSI or Other
- #65 None of the Above
- #66 Monthly Amount

Principle of Check:

If any of the sources of Federal support (foster care elements #59-64) apply, then “None of the Above” (foster care element #65) should not apply. If any of the sources of Federal support applies (*except for Medicaid, foster care element #63, which should be marked as applies if the child is **eligible** for Medicaid funding*), then the Monthly Amount should be greater than 0.

⁶ The letter “m” (and “M”) are used for group #12 rather than “l” or “L” to avoid any confusion between the lower case “l” and the number “1” which could look the same in some fonts.

FOSTER CARE GROUP #13 (marked as "n" or "N" in the Detailed Data Quality report)

Foster Care Elements Involved:

- #8a, b, c, d, e, f Child's Race
- #52a, b, c, d, e, f Race of 1st Foster Caretaker
- #54a, b, c, d, e, f Race of 2nd Foster Caretaker

Principle of Check:

For each race element (foster care elements #8, #52, and #54), if at least one race category („a"–" e") applies, then "Unable to Determine" („f") should not apply. For each race element, if "Unable to Determine" („f") applies, then no race category („a"- „e") should apply. Moreover, if all race categories for a race element are a combination of all blanks and does not apply (coded as "0") or all does not apply (all "0"s), this will result in an error.