

AFCARS ASSESSMENT REVIEW FINDINGS: Foster Care Data Elements

State: Arizona

AFCARS Reporting Period: October 1, 2008 - March 31, 2009 (2009A)

Data Element	Rating Factor	Findings
#1 State	4	<u>Program Code, LN 2583</u> Hard-coded to "04" in the program code.
#2 Report Date	4	<u>Program Code, LNs 2584-2585, 611-629</u> Entered into the program as a parameter via a job card.
#3 Local Agency (County or Equivalent Jurisdiction)	4	<u>Program Code, LNs 2587, 9386-9797</u> The program code checks the staff case assignment table for the case manager record with the latest modification date or assignment end date for this case. It then translates the location of the case manager's office site to the corresponding FIPS code via a lookup table. The selected case manager corresponds to the person with the latest record modification date (or end date) and not the latest date as of the end of the reporting period. If the case were reassigned to a case manager in a different county after the reporting period, a subsequent data file would report the future case manager.
#4 Record Number	4	<u>Program Code, Procedure 30200, LNs 2761-2830</u> The program code reports the High Level Client Index (HLCI) number from the client person table where the provider service approval record exists based on the person number that was extracted in the initial selection logic. If there is no HLCI number, the program code reports the person number from the initial selection logic with the digits "99" in the first two positions of the record number.
#5 Date of Most Recent Periodic Review (if applicable) ____(mo) ____ (day)____(year)	2	<u>Frequency Report</u> There are records with review dates between 1998 and 2007. The State may want to identify the records with the old review dates and determine if these are actually closed foster care cases that were never entered as discharges on the system, or if there is another contributing factor causing the old dates. Based on the discussion during the week of the review and the case file review, it is believed that some of these dates are from the records of the 18 year olds that are no longer in foster care. Once the records of 18 year olds are cleaned up and are no longer in the AFCARS reporting population, these old dates may disappear. <u>Screen:</u> Hearing Documentation and Legal Status The Hearing Documentation screen contains a field "Hearing" and within that field there are fields for the type (dropdown list) and date. The State has Foster Care Review Boards that conduct a periodic review every six months (administrative reviews). The State conducts both FCRB reviews and court reviews every six

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		<p>months. The FCRB review is an option listed on a drop-down list on the Hearing Documentation and Legal Status screen.</p> <p><u>Case File Review Findings</u> 28 (74%) of the records analyzed did not match what was reported in AFCARS. There were 14 records in the sample that were not reviewed because the individual was over the age of 19. In the majority of the cases the reviewers found a review date that was later than the one reported to AFCARS. This may be the result of several causes, for example review dates were not entered in a timely manner, the case workers are not selecting the correct review type, or the code is not accurately checking for the latest review date.</p> <p><u>Extraction Code, Procedures 30000, 31200, 31200 and LNs 1421-1439, 1693-1711</u> The extraction logic is based on whether the manner of removal was a voluntary placement or a court ordered placement. If the legal status is not a voluntary placement at the end of the reporting period, the program code reports the most recent hearing date from the court proceedings table that is during the current removal episode and before the end of the reporting period. Since both administrative reviews and court reviews are entered on the same field and checked in the extraction code, the extraction code checks for review types “ADRE, administrative review,” “FRBH, foster care review board,” “PPHG, permanency hearing, ” “RRHR, review and report hearing, or “IPER.” Workers appear to be selecting an incorrect value from the drop down list of values. If these are the only codes that are being pulled, then there may be a data entry problem. The State may want to modify the dropdown list and make multiple list that separate those hearings that are for periodic reviews from those that are other types of hearings.</p> <p>If the child’s legal status at the end of the reporting period, or on the discharge date, is “VPU, voluntary placement 18-“ or “VPO, voluntary placement 18+,” the program code reports the most recent effective date from the case plan table that is during the current removal episode, but not after the reporting period. This section of the program code needs to be commented out.</p> <p>If a periodic review is conducted within seven months of a child’s entry into foster care, the review date is not being reported. The program code only checks for review dates after the child has been in foster care for more than seven months. The State should include all reviews and comment out this section. <i>Post-Site Visit Findings: The program code has been modified by commenting out the section of code that checked for a review date only if the child has been in care seven months or more (LNs 32100, 32270; 37860-38080).</i></p>

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<p>#6 Child Birth Date</p> <p>____(mo) ____ (day)____(year)</p>	<p align="center">3</p>	<p><u>Frequency Report</u></p> <p>There is one record with a year of birth of 1970 (38 year old). There are an additional 143 records reflecting youth over the age of 19.</p> <p><u>Screen: Person Detail</u></p> <p>There is a box that can be selected if there is an estimated date of birth.</p> <p><u>Program Code, Procedure 30400, LNs 2840-2915</u></p> <p>The program code selects the birth date field from the person table for a child with a provider service approval record. If the birth date/estimator indicator equal "yes," the program code sets the day of the month to "15."</p>
<p>#7 Child Sex</p> <p>1 = Male 2 = Female</p>	<p align="center">4</p>	<p><u>Screen: Person Detail</u></p> <p>There is an option of "unknown" that can be used during the intake process.</p> <p><u>Program Code, Procedure 30400, LNs 2840-2930</u></p> <p>The program code selects the gender field from the person table for a child with a provider service approval record and maps the State codes to the corresponding AFCARS values. Missing data are mapped to blank and an exception report is generated.</p>
<p>#8 Child's Race</p> <p>0 = Does not apply 1 = Apply</p> <p>a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine</p>	<p align="center">3</p>	<p><u>Frequency Report</u></p> <p>There are 843 (6%) records reported with two or more races. There are 617 (4.40%) records reported as "unable to determine."</p> <p><u>Screen: Person Detail</u></p> <p>Each of the AFCARS race fields are on the screen and the worker can select all that apply. The screen also includes the option "refused to disclose." The State uses "unable to determine" for children that are abandoned. The State may want to change the language from "unable to determine" to "abandoned" so that it is clear to the caseworker when it is a suitable option.</p> <p>The State needs to provide instruction that "Filipino" is to be entered as "Asian."</p> <p><u>Program Code, Procedure 30400, LNs 2840-2955</u></p> <p>All the race elements are initialized to "0 or does not apply." The individual race flags are selected from the person table for a child with a provider service approval record. If a flag equals "yes," the corresponding AFCARS race element is set to "1 or applies." If all race elements are set to "0, does not apply," the program code generates an exception report record. Screen cannot be saved if the fields are not completed. <i>Post-Site Visit Findings: The program</i></p>

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		<p><i>code has been modified correctly by commenting out the line of code that initialized the child's race elements to zeroes instead of blanks (LN 35380).</i></p> <p>If either the “unable to determine” flag or the “refused to disclose” flag equals "yes," the program code sets this element to "1, applies."</p> <p><u>NYTD race</u> Since NYTD data collection will begin October 1, 2010 and includes the values of “unknown” and “declined” the State may want to go ahead and add “abandoned” to its options. Also, since the NYTD definition of “unknown” encompasses two situations in which it can be used, the State may want to use language on the screen that is more meaningful to the worker. Such as, “incapacitated” and multi-racial-other race not known. These would then map in NYTD to “unknown.”</p> <p>For AFCARS reporting purposes: > if a person refuses/declines to provide the worker with his/her, or the parent declines to provide, race, then decline would map to the AFCARS value “f.” > if a child is abandoned and there is no one available to provide the race of the child, then abandoned would be mapped to the AFCARS value “f.” > If a child, youth, or parent, is incapacitated and unable to provide the worker with race information, the worker would select “incapacitated/unknown.” This value would be mapped to the AFCARS value “f.” > If a person is multi-racial, but does not know the other race(s), the worker would select “multi-racial-other race not known/unknown,” this value would then be mapped to blank and only the known race would be reported in AFCARS.</p>
<p>#9 Hispanic/Latino Origin</p> <p>1 = Yes 2 = No 3 = Unable to Determine</p>	<p align="center">4</p>	<p><u>Frequency Report</u> There are 898 (6.41%) records reported as “unable to determine.” Since this is a required field, the State should monitor the responses to “unable to determine” to ensure that it is not reflective of lack of data entry.</p> <p><u>Screen: Person Detail</u> The worker can check the appropriate box - “yes,” “no,” or “unable to determine.”</p> <p>This field does not include “refused to disclose” like the race fields. The State may want to add it, and change the language from “unable to determine” to “abandon.”</p> <p>Entry of Hispanic/Latino Origin is required once the child has been removed.</p>

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		<p><u>Program Code, Procedure 30400, LNs 2840-2973</u> The program code selects the Hispanic origin indicator from the person table for a child with a provider service approval record. The program code maps the State codes to the applicable AFCARS values. Missing data are mapped to blank.</p> <p>NYTD data collection includes the values of “unknown” and “declined.” The NYTD definition of “unknown” for ethnicity is “the youth and/or parent is unable to communicate the youth’s ethnicity.” The State may want to use language on the screen that is more meaningful to the worker, for example “incapacitated,” which would then map in NYTD to “unknown.”</p>
<p>#10 Has the child been clinically diagnosed as having a disability(ies)?</p> <p>1=Yes 2=No 3=Not yet Determined</p> <p>If yes, indicate each type of a disability with a “1.”</p>	<p align="center">2</p>	<p><u>Frequency Report</u> (n=14,015): Yes = 7,079 (51%); No = 483 (4%); Not yet determined = 6,453 (46%); Not reported = 0</p> <p>The data have improved since the pilot AFCARS review, but the response for “not yet determined” is a high percentage of the total number of records in the AFCARS report. “Not yet determined” should only be reported if the child has not been seen by a professional. The State team thinks some of the issues could be tied to the interface with the medical database.</p> <p><u>Screen: Examination Detail</u> Information for this screen is populated through the interface with the Comprehensive Medical and Dental system. This screen contains the date, the type of appointment, who the appointment was with, and where it was conducted. The State system does not have the option of “not yet determined.” The screen contains a clinical diagnosis check-box.</p> <p>Case workers are not given the option to enter “not yet determined” in the event an exam had been conducted, but the caseworker has not received the medical report.</p> <p>The State needs to reconsider the design of the screen that is used for this information and include only diagnosed conditions and not include observed characteristics of the child. The data on this screen should only be that information that comes across the interface.</p> <p><u>Case File Review Findings</u> 12 (31%) of the records analyzed did not match what was reported in AFCARS. The State reported “not yet determined” for the cases but the reviewers were able to determine whether the child had received a medical examination and was diagnosed with health conditions or not.</p> <p><u>Program Code, Procedures 32000, 32100, 32110, 32120, 32130, 32135, 32090 and LNs 1366-1399</u></p>

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		<p>This element is initialized to "not yet determined." Until further changes are made to the system and screen, this field should be initialized to blank and if no diagnosed conditions are found and there is no exam date, then set it to "not yet determined." Another change the State should consider is writing a time frame into the extraction code that if the child has been in care for "x" amount of time (as determined by the State), then those records mapped as "not yet determined" be mapped to blank. <i>Post-Site Visit Findings: The program code has been modified to correctly initialize this element to blank. If an exam has been performed before the end of the reporting period, but there is no clinical diagnosis, the program code now correctly sets element #10 to "no." As a result of these changes, element #10 is never set to "not yet determined" (LNs 49630-49710; 50040-50270).</i></p> <p>The program code checks the person education condition, psychological/behavior condition and special medical condition tables for at least one condition with an end date after the beginning of the reporting period and the clinical diagnosis indicator equal to "yes."</p> <p>A condition may have been diagnosed after the end of the current report period, but because the condition end date is after the start of the current reporting period, it will be reported for the current reporting period.</p> <p>The start and end dates of a diagnosis is not compared to the report period being extracted. Consequently, if the State submits a prior report period the data for elements #10 – 15 may not be accurate for the submitted timeframe. <i>Post-Site Visit Findings: The program code now correctly checks for a condition begin date before the end of the reporting period in the person education condition, psych/behavior condition and special medical condition tables (LNs 14820, 14970, 15120).</i></p> <p>If the clinical diagnosis indicator is not checked, or if there are no diagnosed conditions on any of the three screens, the program code will then check for an examination type of MEEEX," "PHEX," "PSIA" or "VSEX," if one is found, it sets the element to "no." Otherwise the response remains "not yet determined."</p> <p>The Children's Bureau or the National Resource Center for Child Welfare Data and Technology will provide the State with information from other States that are using an interface with a health system.</p>
#11 - 15		<p><u>Screens:</u> The scroll list for each item seems very long. See notes in element #10 regarding suggested changes to the system.</p>

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		<p><u>Program Code Procedures 32000, 32100, 32110, 32120, 32130, 32135 and LNs 420-440</u> The program code maps the condition type codes to the foster care elements #11-15 exactly as shown in the "AFCARS medical code translation" tables provided in the AAR documents by the State.</p> <p>The program code checks the three condition tables for a condition with an end date after the start of the reporting period and clinical diagnosis indicator is "yes."</p> <p>The education conditions have both a begin date and end date, but the psychological/behavioral conditions and special medical conditions have only an end date on the screen. The program code uses only the end date of the conditions.</p> <p>The default value is "does not apply." The fields should be initialized to blank. Missing information is to be mapped to blank not a valid AFCARS value. <i>Post-Site Visit Findings: The program code has been modified to correctly initialize elements #11-15 to blank. It also now correctly checks that a condition has a begin date before the end of the reporting period (LNs 49630-49710; 14820, 14970, 15120).</i></p> <p>The Federal team will further evaluate the code table for all conditions listed in this field to ensure that there are not some that should be included. Also, the State indicated they will evaluate the interface process for possible enhancement to ensure that all the correct information is populating CHILDS. For instance, it would seem that a diagnosis of Down Syndrome should be on the list and would be mapped to "mental retardation." <i>Post-Site Visit Finding: There were a few conditions found in the State's code table than needs to be mapped to the elements below. There is a group of values labeled "Special Capability Type Code," please provide an explanation of this group and where they are found in the system. There appears to be some conditions in this group that would be appropriate for the AFCARS values of diagnosed conditions.</i></p>
<p>#11 Mental Retardation Mental Retardation--</p> <p>[0 = Does not apply] 1 = Applies</p>	<p align="center">2</p>	<p><u>Screen: Participant Education Condition</u> This screen contains a field "Special Education Condition, Education Condition" with a scroll list of options that appear to be more than just those related to education. There also is a box the worker can check that indicates if the condition is a "clinical diagnosis." There are "begin" and "end" date fields.</p> <p>If the State condition type code "F, mental retardation" from the person education condition table is found, the program code sets this element to "applies."</p>

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<p>#12 Visually/Hearing Impaired</p> <p>[0 = Does not apply] 1 = Applies</p>	<p align="center">2</p>	<p><u>Screen:</u> Medical/Dental Condition Detail This screen is set-up similar to the Participant Education Condition. These screens also have a field that displays all the conditions selected along with the date.</p> <p>If one of the State condition type codes "C, impaired hearing impaired," or "VISL, vision impaired" from the person education condition table or "VISP, vision impaired," or "HEIM, Hearing impaired" from the special medical needs table is found, the program code sets this element to "applies." It appears that if a child wears glasses, the workers are selecting the vision impaired option, or it is coming across incorrectly on the interface. Visual impairments for AFCARS purposes should be cataracts, glaucoma, etc., as noted on the <i>Disability Resource List</i>.</p>
<p>#13 Physically Disabled</p> <p>[0 = Does not apply] 1 = Applies</p>	<p align="center">2</p>	<p><u>Screen:</u> Medical/Dental Condition Detail</p> <p>If the State condition type code "PDIS, physically disabled" from the special medical needs table is found, the program code sets this element to "applies."</p> <p>Cystic Fibrosis is incorrectly mapped to element #15. It must be mapped to #13. <i>Post-Site Visit Findings: The program code has been modified to correctly map Cystic Fibrosis and muscular disorders to element #13 (VALCH110, LN 4350-4460).</i></p>
<p>#14 Emotionally Disturbed</p> <p>[0 = Does not apply] 1 = Applies</p>	<p align="center">2</p>	<p><u>Screen:</u> Psych/Behavioral Condition Detail If one of the State condition type codes "SCHI, schizophrenia," "DPRS, depressive behavior," "PSYC, psychotic disorder," "AFFT, affective disorder," "ANRX, anorexia/bulimia," "ADD, attention deficit disorder," "ANXT, anxiety disorder" or "PER, personality disorders" in the psych behavior condition table is found, the program code sets this element to "applies."</p> <p><i>Post-Site Visit Findings: The State needs to check the Disability Resource for other codes that should be mapped to this category. The State's codes listed below should be mapped to this element:</i> <i>CON - Conduct Disorder</i></p>
<p>#15 Other Medically</p> <p>[0 = Does not apply] 1 = Applies</p>	<p align="center">2</p>	<p><u>Screen:</u> Medical/Dental Condition Detail If one of the State condition type codes "AIDS, AIDS," "CANC, cancer," "DIAB, diabetes," "EMPH, emphysema," "HIVP, HIV positive," "HEAR, heart problems," "TERM, terminal illness" or "TUBR, tuberculosis" in the special medical needs table or "AUTS, autism" in the psych behavior condition table or "A, autism" in the person education table is found, the program code sets this element to "applies."</p> <p>"ASTH, allergies/asthma" should not be mapped to AFCARS unless it is very severe and affects</p>

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		<p>the activities of daily living.</p> <p>"TECH, technologically dependent" is not actually a diagnosed condition.</p> <p>"MUSC, muscular disorders" is incorrectly mapped to this element. It should be mapped to element #13.</p> <p>Cystic Fibrosis is incorrectly mapped to element #15. It must be mapped to #13.</p> <p><i>Post-Site Visit Findings: The program code has been correctly modified to no longer map State values "TECH, technologically dependent" and "ASTH, allergies/asthma" to any AFCARS element. "CYST, Cystic Fibrosis" and "MUSC, muscular disorders" are now correctly mapped to AFCARS element #13 (LN 4430-4500).</i></p> <p><i>The State needs to check the Disability Resource for other codes that should be mapped to this category. The State's codes listed below should be mapped to this element.</i></p> <p><i>LD - Learning Disability</i></p> <p><i>SLDA - Specific Learning Disability</i></p> <p><i>N - Traumatic Brain Injury</i></p>
<p>#16 Has this child ever been adopted?</p> <p>1 = Yes 2 = No 3 = Unable to Determine</p>	<p align="center">2 3</p>	<p><u>Frequency Report</u> (n=14,015): Yes = 143 (1%); No = 11,718 (84%); Unable to determine = 2,084 (15%); Not reported = 0</p> <p><u>Screen:</u> Participant Detail</p> <p>There is a section "Adoption Characteristics" that contains fields for "previous adoption (yes/no) and the age. The State needs to add the option "unable to determine."</p> <p>There also is a field on the adoption characteristics screen (used for the adoptions) that has the fields "previous adoption" and "age."</p> <p>The system currently does not have the capacity to collect the information that must be reported under section 422(b)(12) of the Social Security Act (the Act). This section of the Act relates to inter-country adoptions and requires States to identify the number of children who were adopted from other countries and entered into State custody; the reasons for the disruptions or dissolutions, the permanency plan for the children, and identify the agencies that handled the placement or adoption. States are currently required to provide this information in the Five-Year Child and Family Services plan, and annually in the Annual Progress and Services Report. The</p>

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		<p>State may want to consider adding fields to enable the collection of information needed for reporting this information.</p> <p><u>Case File Review Findings</u> 5 (13%) of the records analyzed did not match what was reported in AFCARS. The majority of the errors had a response of “no” instead of “yes.” One record was for a child that was previously adopted and reentered care but “yes” was not reported. This may be an issue of how long the child has been back in care; it may be a data clean up issue.</p> <p><u>Program Code, Procedure 30200, LNs 2761-2830</u> The program code extracts the previous adoption indicator from the client person table and maps the State codes of “yes” and “no” to the appropriate AFCARS values. Other State values are mapped to "unable to determine." Missing information is to be mapped to blank. <i>Post-Site Visit Findings: The program code has been modified to correctly initialize this element to blank and to keep the element as blank if no record is found in the client person table (LNs 33570-33600; 34060-34080).</i></p> <p>If no record is found in the client person table, the program code reports "no" for this element. If a record is not found, then the element should be left blank.</p>
<p>#17 If yes, how old was the child when the adoption was legalized?</p> <p>[0 = Not Applicable] 1 = less than 2 years old 2 = 2-5 years old 3 = 6-12 years old 4 = 13 years or older 5 = Unable to Determine</p>	<p align="center">2</p>	<p><u>Frequency Report</u> (n=14,015): Not applicable = 13,872 (99%); Unable to determine = 0; Age categories = 143; Not reported = 0</p> <p>The number of records reported for not applicable and unable to determine do not match what is reported in element #16. The data in element 16 and 17 must be consistent and match between each of the categories.</p> <p><u>Screen: Participant Detail</u> There is a screen in the CHILDS application “Adoption Characteristics” that contains fields for “previous adoption (yes/no) and the child’s age at the time of that adoption.</p> <p><u>Program Code, Procedure 30200, LNs 2761-2830</u> The program code initializes this element to "0, not applicable."</p> <p>When a record is found in the client person table for a child and the previous adoption indicator is "yes," the program code maps the age at previous adoption field to the appropriate AFCARS age range value. The program code never sets this element to "5, unable to determine." This element should be initialized to blank and not to a valid AFCARS value. If child’s age at</p>

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		adoption is unknown, this field should be left blank. <i>Post-Site Visit Findings: The program code has been modified to correctly initialize this element to blank instead of "not applicable" (LNs 33570-33600).</i>
Removal Episodes		For children that have been previously adopted from the State's foster care system, the State is not reporting information on the prior removal episodes. The requirement for AFCARS is that all removal episodes are to be included. The State needs to ensure that the extraction code reports the child's first ever removal and the total number of removals for children that were adopted from the State's foster care system.
#18 Date of First Removal from Home ____(mo) ____ (day)____(year)	2	<p><u>Screen:</u> Removal Status</p> <p><u>Case File Review Findings</u> 4 (11%) of the records analyzed did not match what was reported in AFCARS. The errors were because the first placement in the first removal episode was either locked facility or a hospital.</p> <p><u>Program Code, Procedures 30810, 30850, 30860. LNs 3079-3192, 3267-3299, 3307-3345 and 1347-1362</u> The program code searches through the removal records in reverse date order, latest to earliest removal episode, and reports the earliest removal date for this element.</p> <p>The program code does not exclude records that the only placement is a hospital or locked facility (See General Requirement #1). <i>Post-Site Visit Findings: The program code has been modified to correctly exclude records for which the only placement is a hospital or locked facility.</i></p> <p>The program code does not use the start date of the first foster care setting that is after an initial placement in a hospital or locked facility. <i>Post-Site Visit Findings: The program code has also been modified to correctly report the start date of the first placement that is not a hospital or locked facility (LNs 36854-36879).</i></p> <p>The State excludes records in which the removal and discharge date are on the same day. This does not capture all removal episodes that last 24 hours or less. A problem occurs if the start and end date are on two consecutive days but the length of stay in foster care is less than 24 hours. If the child were to later re-enter foster care and the episode is longer than 24 hours, the AFCARS data will reflect an incorrect date for the first removal episode. In order to accurately reflect the correct start date of the first removal from home, the State needs to add a clock field to both the removal status and legal status screens.</p>

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<p>#19 Total Number of Removals from Home</p>	<p align="center">3</p>	<p>The accuracy of the data for this element is affected by the State incorrectly reporting some children as discharged that are returned home while still under care, placement or supervision of the State.</p> <p><u>Program Code, Procedures 30810, 30850 and 30860, LNs 3079-3192, 3267-3299, 3307-3345, and 1347-1362</u></p> <p>The program code searches through the removal records in reverse date order, from the latest to the earliest removal episode and increments the count for each removal.</p> <p>The program code correctly excludes any removal episode that begins and ends on the same day.</p> <p>The program code incorrectly includes in the removal count removal episodes in which the only placement was in a hospital or locked facility (see General Requirement #1). <i>Post-Site Visit Findings: The program code has been modified to correctly exclude from the count those removals for which the only placement is a hospital or locked facility (LNs 13380-13700; 13832-14680).</i></p> <p>The program code counts removal episodes that begin on one day and end on another. It is possible that many of these are actually less than 24 hours and so based on the AFCARS standards should not have been included.</p>
<p>#20 Date Child was Discharged from last foster care episode (if applicable)</p> <p>____(mo) ____ (day)____(year)</p>	<p align="center">3</p>	<p><u>Screen:</u> Removal Status</p> <p><u>Frequency Report:</u> The number of records reported with a date of discharge from the last foster care episode equals the number of records reported for element #19 as having two or more removals.</p> <p>Dates for this element are affected by the State’s reporting children on trial home visit as discharged.</p> <p><u>Program Code, Procedures 30810, 30850, 30860. LNs 3079-3192, 3267-3299, 3307-3345 and 1347-1362</u></p> <p>After the program code determines the removal date for the latest removal episode, it searches the previous episode and reports the return date as the discharge date for this element.</p> <p>The program code correctly excludes any removal episode that begins and ends on the same day. The program code does not exclude a removal episode for which the only placement was in a</p>

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		<p>hospital or locked facility. <i>Post-Site Visit Findings: The program code has been modified to correctly exclude removal episodes for which the only placement is a hospital or locked facility (LNs 38910-39020; 13832-14680).</i></p> <p>The program code excludes an episode that ends the same day that the next later episode begins.</p>
<p>#21 Date of Latest Removal</p> <p>____(mo) ____ (day)____(year)</p>	<p align="center">2</p>	<p><u>Screen:</u> Removal Status</p> <p><u>Case File Review Findings</u> 8 (21%) of the records analyzed did not match what was reported in AFCARS. The errors were because the first placement in the first removal episode was either locked facility or a hospital.</p> <p><u>Program Code, LNs 3088-3135; 1314-1343</u> For each child identified in the initial selection logic, the program code reports the date of removal from the removal table record which has the latest date and a removal date before the end of the reporting period</p> <p>The program code does not exclude records that the only placement is a hospital or locked facility (See General Requirement #1). <i>Post-Site Visit Findings: The program code has been modified to correctly exclude records for which the only placement is a hospital or locked facility (LNs 36854-36879).</i></p> <p>The program code does not use the start date of the first foster care setting that is after an initial placement in a hospital or locked facility. <i>Post-Site Visit Findings: The program code has been modified to correctly report the start date of the first placement that is not a hospital or locked facility (LNs 43920-44460).</i></p> <p>The State excludes records in which the removal and discharge date are on the same day. This does not capture all removal episodes that last 24 hours or less. A problem occurs if the start and end date are on two consecutive days but the length of stay in foster care is less than 24 hours. If the child were to later re-enter foster care and the episode is longer than 24 hours, the AFCARS data will reflect an incorrect date for the first removal episode. In order to accurately reflect the correct start date of the first removal from home, the State needs to add a clock field to both the removal status and legal status screens.</p>
<p>#22 Date of Latest Removal Transaction Date</p>	<p align="center">4</p>	<p><u>Program Code, LNs 3088-3135, 1314-1343</u> The program code reports the system-generated date of creation of the removal record for the date of latest removal in the reporting period.</p>

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<p>#23 Date of Placement in Current Foster Care Setting</p> <p>____(mo) ____ (day)____(year)</p>	<p align="center">2</p>	<p><u>Frequency Report</u> There are 195 records reported with a missing placement date.</p> <p><u>Screen: Placement/Location Detail</u> A placement can be held for seven for payment when a child is on runaway status or hospitalized. After seven days, the worker ends the placement.</p> <p><u>Case File Review Findings</u> 13 (34%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code, Procedures 31600, 31610 and LNs 1762-1831</u> The program code reports the latest provider service approval (PSA) start date or physical placement history (PPH) effective date in the current removal episode that is before the end of the reporting period or before the discharge date if the child was discharged during the reporting period.</p> <p>If the PPH is a “runaway” or “trial home visit,” the program code incorrectly reports the start date of the foster care setting immediately prior to the “runaway” or “trial home visit.” The program code must be modified to report the start date of a “runaway” or a “trial home visit.”</p> <p>For a PSA record with a provider location number the same as the provider location number in the previous placement, the program code treats these as a single placement setting and reports the start date of the earlier PSA record. The location number remains the same for consecutive different physical placements under the same "umbrella" provider.</p> <p>The program code does not exclude acute hospital stays when reporting the placement date.</p> <p>The date should not change when there is a change in status.</p>
<p>#24 Number of Previous Placement Settings in This Episode</p>	<p align="center">2</p>	<p><u>Frequency Report</u> Even though there are records reported in elements #23 and 41 as blank, there are no records reported in element #24 with missing placement count.</p> <p><u>Case File Review Findings</u> 15 (44%) of the records analyzed did not match what was reported in AFCARS. The findings indicate mostly there is an over-count of the number of placements. The State team needs to identify the number of days for an acute care stay (per the Medicaid State plan).</p>

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Data Element	Rating Factor	Findings
		<p>The case file review also identified that status changes are being counted, such as when a foster home becomes a pre-adoptive home. There also seemed to be an increment in the count based on a change from an unlicensed to a licensed foster home.</p> <p>If the agency has a shelter and a group home on the same campus and a child moves between these settings the count should not increase. The State team indicated that in some instances for licensing purposes the primary caretaker may be changing from female to male which could be an issue related to the increase in placement counts.</p> <p><u>Program Code, Procedures 31600, 31610 and LNs 1762-1831</u> The program code counts the PSA or PPH placements in the current removal episode and before the end of the reporting period (or discharge date).</p> <p>PPH placements of “runaway” and “trial home visit” are correctly excluded from the count as are consecutive placements with providers having the same location ID. A return from a “runaway” or “trial home visit” to the same provider location does not increment the count.</p> <p>Acute hospital stays are not excluded from the count.</p>
<p>#25 Manner of Removal From Home for Current placement Episode</p> <p>1 = Voluntary 2 = Court Ordered 3 = Not Yet Determined</p>	<p align="center">4</p>	<p><u>Screen:</u> Legal Status There is a drop down list and a date field.</p> <p><u>Frequency Report</u> (n=14,015): Voluntary = 1,651 (12%); Court Ordered = 12,364 (88%); Not Yet Determined = 0; Not reported = 0</p> <p><u>Program Code, Procedure 32200 and LNs 1402-1416</u> The program code selects a record from the legal status history table with legal status type of voluntary placement (codes "VPU" or "VPO") and an effective date during the current removal episode and before the end of the reporting period. If a record is found, the program code sets this element to "1, voluntary," otherwise it defaults to "2, court-ordered." The program code never sets this element to "3, not yet determined."</p>
<p>Actions or Conditions Associated With Child’s Removal (Indicate all that apply with a “1”.)</p> <p>[0-Does not Apply] 1-Applies</p>		<p><u>Screen:</u> Removal Status and Strengths and Risks Assessment On the Removal Status screen there is a field “Removal Reason” that has a dropdown list, which are the “legal” reasons for removal. As of November 2007 the State implemented an integrated investigation/case plan. Strengths and risk assessment for the family is documented in the investigation/case plan and it is through this process that the conditions associated with a child’s removal are recorded. These screens are filled out at the time of the removal and every six</p>

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		<p>months. The system allows the worker to record identified risk factors at various points during the life of the case and locks them so that they can be reported for the particular period in the life of the case.</p> <p><u>Case File Review Findings:</u> An analysis of the errors found during the case file review revealed that the cases with errors were those that had a removal from home date that was prior to November 2007.</p> <p><u>Program Code in 30820-CHK-REMV-L-REASON; LNs 3201-3258</u> There are four different procedures in the program code to set the values of the removal reason elements #26-40. Elements #30, 34, 37 and 40 are set in three procedures, based on different criteria. Elements #29, 31, 32, 33, 35 and 36 are set in two procedures, based on different criteria. Elements # 26, 27, 28 and 38 are set in one procedure, while element #39 is set in a different procedure.</p> <p>The State is encouraged to footnote how many kids that are Safe Haven.</p>
#26 Physical Abuse	3 4	
#27 Sexual Abuse	3 4	
#28 Neglect	3 4	
#29 Parent Alcohol Abuse	3 4	<u>Program Code 31110-FETCH-RISK-FACTOR LNs 3420-3464 or in 31150-NEW-RISK-FACTOR, LNs 3473-3590</u>
#30 Parent Drug Abuse	3 4	<u>Program Code 30820 and 31110-FETCH-RISK-FACTOR LNs 3420-3464 or in 31150-NEW-RISK-FACTOR, LNs 3473-3590.</u>
#31 Child Alcohol Abuse	3 4	<u>Program Code 31110-FETCH-RISK-FACTOR LNs 3420-3464 or in 31150-NEW-RISK-FACTOR, LNs 3473-3590</u>
#32 Child Drug Abuse	3 4	<u>Program Code 31110-FETCH-RISK-FACTOR LNs 3420-3464 or in 31150-NEW-RISK-FACTOR, LNs 3473-3590</u>
#33 Child Disability	3 4	<u>Program Code 31110-FETCH-RISK-FACTOR LNs 3420-3464 or in 31150-NEW-RISK-FACTOR, LNs 3473-3590</u>
#34 Child's Behavior Problem	3 4	<u>Program Code 30820 and 31110-FETCH-RISK-FACTOR LNs 3420-3464 or in 31150-NEW-RISK-FACTOR, LNs 3473-3590</u>
#35 Death of Parent	3	<u>Program Code 31110-FETCH-RISK-FACTOR LNs 3420-3464 or in 31150-NEW-RISK-</u>

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Data Element	Rating Factor	Findings
	4	<u>FACTOR, LNs 3473-3590</u>
#36 Incarceration of Parent	3 4	<u>Program Code 31110-FETCH-RISK-FACTOR LNs 3420-3464 or in 31150-NEW-RISK-FACTOR, LNs 3473-3590</u>
#37 Caretaker Inability to Cope Due to Illness or Other Reasons	3 4	<u>Program Code 30820 and 31110-FETCH-RISK-FACTOR LNs 3420-3464 or in 31150-NEW-RISK-FACTOR, LNs 3473-3590</u>
#38 Abandonment	3	<u>Test Deck:</u> Incorrectly reported Safe Haven in this element.
#39 Relinquishment	3	For children that entered foster care through Safe Haven mark this element as “apply.” <u>Program Code 32220-RELINQUISHMENT, LNs 4472-4496</u> The program code reports this element as "applies" if the client legal status history table contains any records for this child in which the legal status type code = "RELI," and the code is effective during the current removal episode, but before the end of the reporting period.
#40 Inadequate Housing	3 4	<u>Program Code 30820 and 31110-FETCH-RISK-FACTOR LNs 3420-3464 or in 31150-NEW-RISK-FACTOR, LNs 3473-3590</u>
#41 Current Placement Setting 1 = Pre-Adoptive Home 2 = Foster Family Home-Relative 3 = Foster Family Home-Non-Relative 4 = Group Home 5 = Institution 6 = Supervised Independent Living 7 = Runaway 8 = Trial Home Visit	3	<u>Frequency Report (n=14,015): Pre-Adoptive Home = 319 (2%);</u> Foster Family Home (Relative) = 4,443 (32%); Foster Family Home (Non-Relative) = 6,078 (43%); Group Home = 1,124 (8%); Institution = 993 (7%); Supervised Independent Living = 488 (4%); Runaway = 373 (3%); Trial Home Visit = 83 (1%); Not reported = 174 (1%) The number of records missing data does not match the number of records missing data in element #23. <u>Screen:</u> Placement/Location Detail PSA-Service placement (paid); PPH -physical placement (unpaid) “Day” and “temp” after the living arrangement values represent the payment unit. AZ statute requires children to live with adoptive parents prior to being adopted. <u>Case File Review Findings</u> 8 (21%) of the records analyzed did not match what was reported in AFCARS. The errors were mostly related to incorrect entry and/or extraction of “trial home visit.” <u>Program Code, Procedures 31800, 31810, 34650 and LNs 1163-1209, 1214-1248</u> If the current placement is a PSA (paid) placement, the program code pulls the service code from

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Data Element	Rating Factor	Findings
		<p>the service type table and maps it to the corresponding AFCARS value using the permitted values (PVMS) table.</p> <p>If the current placement is a PPH (unpaid) placement, the program code selects the physical placement type code from the physical placement history table and maps it to the AFCARS value using the PVMS table.</p>
<p>#42 Is Current Placement Out-of-State?</p> <p>1=Yes (Out of State placement) 2=No (In-State placement)</p>	<p align="center">2</p>	<p><u>Frequency Report:</u> Not reported = 174 (1%) The number of records missing data does not match element #23's number of records missing data.</p> <p><u>Screen:</u> Provider Address</p> <p><u>Program Code, Procedures 32600, 32610, 32620 and 32630</u> The program code checks for the State in the address or agency location depending on the type of placement. If the indicated State is "Arizona," the program code reports "2, no," otherwise it reports "1, yes." For PSA placement, the program code checks the physical address of the provider location. For PPH placement with an "agency," the program code reports based on the State in the agency record. For a PPH placement with a person referred to as either "independent" or "trial home visit," the program code checks the home address with the latest effective date. Because the latest effective date is not checked to be valid during the reporting period, the wrong value may be reported in a subsequent data file, if the person moved to a different State after the end of the reporting period.</p>
<p>#43 Most recent case plan goal</p> <p>1 = Reunify With Parent(s) Or Principal Caretaker(s) 2 = Live With Relative(s) 3 = Adoption 4 = Long Term Foster Care 5 = Emancipation 6 = Guardianship 7 = Case Plan Goal Not Yet Established</p>	<p align="center">2</p>	<p><u>Screen:</u> Permanency Goals The State also has concurrent goals.</p> <p><u>Frequency Report (n=14,015):</u> Reunify = 7,304 (50%); Live With Other Relative(s) = 302 (2%); Adoption = 2,862 (20%); Long-Term Foster Care 341 (2%); Emancipation = 1,526 (11%); Guardianship = 66 (.47%); Case Plan Goal Not Yet Established = 1,888 (13%); Not reported = 0</p> <p><u>Program Code Procedure 32400 and LNs 1460-1477, 1483-1497</u> This element is initialized to "case plan goal not yet established." The program code first selects the permanency goal from the permanency goal table with the most recent effective date before the end of the reporting period or discharge date. The case plan status indicator must be one of the following: "A," "H," "M," or "T." If there are no records that match these criteria, the program code selects the most recent permanency goal type with case plan status indicator equal to "D, draft." After a permanency goal type is found, the program code maps the State value to</p>

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Data Element	Rating Factor	Findings
		<p>the appropriate AFCARS value. Any value other than those mapped to AFCARS and missing information is mapped to “7, case plan goal not yet established.</p> <p>The State should report only those cases that have been in care for 60 days or less as “not yet established” and all cases older than 60 days should be set to blank if no case plan goal has been entered by the worker. <i>Post-Site Visit Findings: The program code has been modified incorrectly to report those cases that have been in care for 60 days or less as blank and all cases older than 60 days as "not yet established" if no case plan goal has been entered by the worker (LNs 29819-29842).</i></p> <p><u>Case File Review Findings</u> 5 (13%) of the records analyzed did not match what was reported in AFCARS. In at least one case the child had been in care more than 6 months but the case plan had not been established. The other issue seems to be that if the child is 18 the case plan goal is reset to “not yet established.” This should be resolved once cases for 18 years are reported as discharged.</p>
<p>#44 Caretaker Family Structure</p> <p>1 = Married Couple 2 = Unmarried Couple 3 = Single Female 4 = Single Male 5 = Unable to Determine</p>	<p>2 3</p>	<p><u>Frequency Report</u> (n=14,015): Married Couple = 3,043 (22%); Unmarried Couple = 432 (3%); Single Female = 8,241 (59%); Single Male = 919 (7%); Unable to Determine = 1,399 (10%); Not reported = 0</p> <p><u>Screen: Marital Status History</u> The screen contains fields for the effective date and an end date. There is a value “separated” on the dropdown list. The options on the screen are “consensual,” “divorced,” “married,” “separated,” “single,” and “widowed.”</p> <p><u>Program Code, Procedures 33050, 33050, 33100 and LNs 2598-2604, 1532-1549</u> The program code checks if a valid caretaker ID was selected from the current removal record. If so, it selects the most recently created marital status record that was effective on the date of removal. If no valid caretaker ID was found from the current removal record, the program code uses the case ID and the household of removal table to select the marital status effective on the date of removal from the marital status history table. The program code then maps the State values of “MARR, married” to AFCARS “married” and “CONS, consensual” to “unmarried couple.” If marital status is neither “SING, single,” “SEPA, separated,” “D, divorced” or “W, widowed,” the program code sets this element to “3, single female” if the gender is female and to “4, single male” if the gender is male. The program code incorrectly defaults missing information to “unable to determine.” Missing information should be mapped to blank. <i>Post-Site Visit Findings: The program code has been</i></p>

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		<p><i>modified to correctly map missing data to blank instead of "unable to determine" (LNs 58550-58560; 59560-59570).</i></p> <p><i>“Separated” is to be mapped to “married.” Post-Site Visit Findings: The program code has also been modified to correctly map "separated" to "married" (LNs 61190-61220).</i></p> <p><u>Case File Review Findings</u> 5 (13%) of the records analyzed did not match what was reported in AFCARS.</p>
<p>#45 1st Primary Caretaker’s Birth Year</p> <p>____(mo) ____ (day)____(year)</p>	<p align="center">3</p>	<p><u>Screen:</u> Person detail The State may want to add an edit to the screen that prevents the entry of a caretaker that is younger than the child.</p> <p><u>Frequency Report:</u> There is one record with a year of birth of 1910 (98/99 year old). There is one record with a year of birth of 2007.</p> <p>There are 235 records that are blank. This does not match the number of records reported in element #44. These numbers should match.</p> <p><u>Program Code Procedures 33050, 33055, 33100, 332XX, 333XX and LNs 1532-1549</u> The program code reports the year of birth for the primary caretaker that was selected from the person table or the household of removal table. The female is considered the primary caretaker unless it is a single male.</p> <p>The State may want to add an edit to the screen that prevents the entry of a caretaker that is younger than the child.</p> <p><u>Case File Review Findings</u> 5 (13%) of the records analyzed did not match what was reported in AFCARS.</p>
<p>#46 2nd Primary Caretaker’s Birth Year (if applicable)</p> <p>____(mo) ____ (day)____(year)</p>	<p align="center">3</p>	<p><u>Frequency Report:</u> There are 10,413 records that are blank. This does not match with the number of records reported with a family structure in element #44. There are 9 records with an invalid year.</p> <p><u>Screen:</u> Person detail</p> <p><u>Program Code Procedures 33050, 33055, 33100, 332XX, 333XX and LNs 1532-1549</u> The program code reports the year of birth for the additional caretaker that was selected from the</p>

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Data Element	Rating Factor	Findings
		<p>person table or the household of removal table. The female is considered the primary caretaker unless it is a single male.</p> <p><u>Case File Review Findings</u> 8 (21%) of the records analyzed did not match what was reported in AFCARS.</p>
<p>#47 Mother's Date of TPR</p> <p>____(mo) ____ (day)____(year)</p>	<p align="center">2</p>	<p><u>Screen:</u> Legal Status The person detail screen also contains a field for a deceased date.</p> <p><u>Program Code Procedures 34000, 34100, 34110 and LNs 1580-1598, 1444-1454</u> First, the program code checks for a deceased date from the person table for the relationship type of "MOTH" in the family relationship table. The program code reports the deceased date as the mother's date of TPR for the record with the maximum end date for this relationship type.</p> <p>If no deceased date has been entered, the program code reports the latest effective date from the legal status history table, where the legal status type is "termination," "relinquishment" or "adjudication."</p> <p>The program code does not check that the date reported for mom's date of TPR is before the end of the reporting period. <i>Post-Site Visit Findings: The program code has been modified to correctly check that the date reported for mom's TPR is before the end of the reporting period (LN 15671).</i></p> <p>The extraction code is not correctly extracting the TPR dates when there is more than one mother, i.e., a biological mother and an adoptive mother. <i>Post-Site Visit Findings: The program code has also been modified to incorrectly report the TPR date for the person with the latest effective date of the family relationship record for relationship type of "MOTH," even if a later date exists for "ALMO." Only if no effective date exists for a person with relationship type of "MOTH" will the latest date for "ALMO" now be considered. The program code must report the latest TPR date regardless of the latest relationship effective date (LNs 16510-16780).</i></p>
<p>#48 Legal or Putative Father's TPR</p> <p>____(mo) ____ (day)____(year)</p>	<p align="center">2</p>	<p><u>Screen:</u> Legal Status The person detail screen also contains a field for a deceased date.</p> <p><u>Program Code Procedures 34000, 34100, 34110 and LNs 1580-1598, 1444-1454</u> First, the program code checks for a deceased date from the person table for the person having the relationship type of "FATH" or "ALFA" (alleged father) in the family relationship table. The program code reports the deceased date of the father as the father's date of TPR or, if none exists,</p>

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Data Element	Rating Factor	Findings
		<p>the deceased date of the alleged father. The program code does not report the deceased date for the relationship record with the latest end date as it does for mom's date of TPR.</p> <p>If no deceased date has been entered, the program code reports the latest effective date from the legal status history table for the father, where the legal status type is "termination," "relinquishment" or "adjudication." If there is no "father" identified in the relationship table, the program code reports the latest effective date from the legal status history table for the "alleged father."</p> <p>The program code does not check that the date reported for dad's date of TPR is before the end of the reporting period. <i>Post-Site Visit Findings: The program code has been modified to correctly check that the date reported for dad's TPR is before the end of the reporting period (LN 15671).</i></p> <p>The program code is to be looking for the latest TPR date not the latest relationship date as it currently is doing. <i>Post-Site Visit Findings: The program code continues to incorrectly report the TPR date based on the latest family relationship effective date instead of the latest TPR date.</i></p>
Foster family home - parent(s) data		<p><u>Screen: Marital Status History</u> The screen also contains fields for the effective date and an end date. There is a value "separated" on the dropdown list. The options on the screen are "consensual," "divorced," "married," "separated," "single," and "widowed."</p> <p><u>Program Code for elements #49-55</u> For a PSA placement, the person record is identified via the person role. For a PPH placement, the person record is identified from the PPH record itself.</p> <p>Regardless of the method used to identify the appropriate person IDs, the program code uses the same logic to map and report the values for elements #49-55.</p>
<p>#49 Foster Family Structure</p> <p>0 = Not Applicable 1 = Married Couple 2 = Unmarried Couple 3 = Single Female 4 = Single Male</p>	2	<p><u>Frequency Report</u> (n=14,015): Not applicable = 0; Married Couple = 6,807 (49%); Unmarried Couple = 0; Single Female = 3,634 (26%); Single Male = 395 (3%); Not reported = 3,179 (23%)</p> <p><u>Screen: Marital Status History</u></p> <p><u>Program Code Procedures 30000, 34600, 34620, 34630</u> The program code checks if the value of foster care element #41 represents a foster home setting ("1," "2" or "3"), then sets this element based on the marital status code of the primary foster parent. If the marital status is "MARR," the program code reports "1, married couple." Otherwise,</p>

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Data Element	Rating Factor	Findings
		<p>if the gender of the primary foster parent is "female," the program code reports "3, single female," otherwise it reports "4, single male."</p> <p>The extraction code is incorrectly setting records where the child is in a non-foster home setting to blank instead of "not applicable." <i>Post-Site Visit Findings: The program code has also been modified to correctly report "not applicable" when the child is in a non-foster home setting (LNs 32670-32680).</i></p> <p>The program code never reports AFCARS values of "2, unmarried couple." However, the screen has the option "consensual," which should be mapped to "unmarried couple." The State team indicated that per State statute they do not recognize cohabitation. The State does not recognize cohabitation for licensing purposes. Consequently, even if an unmarried couple is in the home, even though only one individual is on the foster home license, the State is only reporting these as "single." <i>Post-Site Visit Findings: The program code has been modified to correctly map values of "MARR," "CONS," and "SEPA" to "married" for this element. This mapping for "CONS" appears to contradict the State policy described during the on-site review (LNs 90500-90510).</i></p> <p>Since the program code does not report on unmarried couples this may explain why the counts are different between the numbers in element #49 and the years of birth.</p>
#50 1 st Foster Caretaker's Birth Year	3	<p><u>Frequency Report:</u> There is one record with a year of birth of 2000. There are 10,386 records reported in element #49 with a family structure, there are 10,816 records reported for this element. There are seven records with an invalid year. See notes in FC #49.</p> <p><u>Screen:</u> Person Detail</p> <p><u>Program Code Procedures</u> 30000, 34600, 34620, 34630 The program code reports the year of birth from the person table for the primary foster parent. If the child is in a non-foster home setting, the program code sets this element to blank.</p>
#51 2 nd Foster Caretaker's Birth Year	3	<p><u>Frequency Report:</u> There is one record with a year of birth of 2000. There are 6,807 records reported in element #49 with a married family structure, there are 6,811 records reported for this element. There are four records with an invalid year.</p> <p><u>Screen:</u> Person Detail</p>

AFCARS ASSESSMENT REVIEW FINDINGS: Foster Care Data Elements

State: Arizona

AFCARS Reporting Period: October 1, 2008 - March 31, 2009 (2009A)

Data Element	Rating Factor	Findings
		<p><u>Program Code Procedures 30000, 34600, 34620, 34630</u> The program code reports the year of birth from the person table for the additional foster parent. If the child is in a non-foster home setting or if the foster family structure is not "married couple," the program code sets this element to blank.</p>
<p>#52 1st Foster Caretaker's Race</p> <p>a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine</p>	<p align="center">3</p>	<p><u>Screen:</u> Person Detail</p> <p><u>Program Code Procedures 30000, 34600, 34620, 34630</u> If the child is in a non-foster home setting, the program code correctly sets the race elements to blank. Otherwise, the program code reports the race from the person table for the primary foster parent using the same mapping logic used for the child's race in elements.</p> <p>Based on the data for elements #41 and 49, this element is rated a three. Once corrections have been made to element #49, the Federal team will re-evaluate the findings.</p>
<p>#53 1st Foster Caretaker's Hispanic or Latino Origin</p> <p>0 = Not applicable 1 = Yes 2 = No 3 = Unable to Determine</p>	<p align="center">2 3</p>	<p><u>Screen:</u> Person Detail</p> <p><u>Extraction Code LN 02685</u> The extraction code is incorrectly setting this element to blank if the child is not in a foster home. Frequency Report (n=14,015): Not applicable = 0; Yes =3,204 (23%); No = 7,428 (53%); Unable to determine = 176 (1%); Not reported = 3.207 (23%)</p> <p><u>Program Code Procedures 30000, 34600, 34620, 34630</u> If the child is in a non-foster home setting, the program code incorrectly sets this element to blank instead of "not applicable." Otherwise, the program code reports the Hispanic origin from the person table for the primary foster parent using the similar mapping logic used for the child's Hispanic origin in elements #9. The State values of "yes," "no" and "unable to determine" are mapped to the identical AFCARS values. Other values are not explicitly mapped to any AFCARS value in the program code, but they are mapped explicitly to blank for elements #9 and #55. (LN 8847 vs. 2968 and 8916)</p> <p>If #49 is a single male or single female, state should only report one DOB in the following elements related to the foster family structure. If element 49 is single male or female the elements 54 and 55 should be blank. <i>Post-Site Visit Findings: The program code has been modified to correctly set this element to "not applicable," if the child is not in a foster home setting (LNs 32720-32730).</i></p>
<p>#54 2nd Foster Caretaker's Race (if applicable)</p>	<p align="center">3</p>	<p><u>Screen:</u> Person Detail</p>

AFCARS ASSESSMENT REVIEW FINDINGS: Foster Care Data Elements

State: Arizona

AFCARS Reporting Period: October 1, 2008 - March 31, 2009 (2009A)

Data Element	Rating Factor	Findings
a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine		<p><u>Program Code Procedures 30000, 34600, 34620, 34630</u> If the child is in a non-foster home setting or the foster family structure is not "1, married," the program code correctly sets these race elements to blank. Otherwise, the program code reports the race from the person table for the additional foster parent using the same mapping logic used for the child's race in elements #8.</p> <p>Based on the data for elements #41 and 49, this element is rated a three. Once corrections have been made to element #49, the Federal team will re-evaluate the findings.</p>
#55 2 nd Foster Caretaker's Hispanic Origin [0 = Not Applicable] 1 = Yes 2 = No 3 = Unable to Determine	2 3	<p><u>Screen: Person Detail</u> Frequency Report (n=14,015): Not applicable = 0; Yes =1,911 (14%); No = 4,793 (34%); Unable to determine = 103 (1%); Not reported = 7,208 (51%)</p> <p><u>Program Code Procedures 30000, 34600, 34620, 34630</u> If the child is in a non-foster home setting or the foster family structure is not "1, married," the program code incorrectly sets this element to blank instead of "not applicable." Otherwise, the program code reports the Hispanic origin from the person table for the additional foster parent using the identical mapping logic used for the child's Hispanic origin in elements #9. <i>Post-Site Visit Findings: The program code has been modified to correctly set this element to "not applicable," if the child is not in a foster home setting (LNs 32750-32760).</i></p>
#56 Date of Discharge from foster care ____ (mo) ____ (day) ____ (year)	2	<p><u>Screen: Removal Status</u></p> <p><u>Program Code LNs 3088-3163, 1314-1343:</u> The program code reports spaces for this element if the child has not been discharged or the discharge date was after the end of the reporting period. Otherwise, the program code reports the maximum return date from the removal table for this element. The State needs to address how it is going to report as discharged youth that turn 18 during the report period. If the youth turns 18, the birth date is to be reported as the discharged date. If the youth is receiving title IV-E, then the discharge date is the date the child is no longer eligible for title IV-E. This can either be handled by data entry or in the extraction code. <i>Post-Site Visit Findings: The program code has been modified to correctly report the date of discharge as the youth's 18th birth date, if the youth is not receiving title IV-E and turned 18 during the reporting period and before the removal end date (LNs 29891-30100).</i></p> <p><u>Case File Review Findings</u> 7 (18%) of the records analyzed did not match what was reported in AFCARS.</p>
#57 Date of Discharge Transaction Date	4	<p><u>Program Code LNs 3088-3163, 1314-1343:</u></p>

AFCARS ASSESSMENT REVIEW FINDINGS: Foster Care Data Elements

State: Arizona

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Data Element	Rating Factor	Findings
<p>#58 Reason for Discharge</p> <p>[0 = Not Applicable] 1 = Reunification with Parent(s) or Primary Caretaker(s) 2 = Living with Other Relative(s) 3 = Adoption 4 = Emancipation 5 = Guardianship 6 = Transfer to Another Agency 7 = Runaway 8 = Death of Child</p>	<p align="center">2</p>	<p>The program code reports spaces for this element if the child has not been discharged or the discharge date was after the end of the reporting period. Otherwise, the program code reports the system-generated date of entry of the return date from the removal table.</p> <p><u>Screen:</u> Removal Status</p> <p><u>Program Code Procedure 34400 and LNs 2714-2742</u> If a discharge date is populated in foster care element #56, the program code reports this element based on the end reason for the current removal. The State's values are correctly mapped to the AFCARS codes.</p> <p>If the discharge reason could not be determined from the removal end reason, the program code reports this element based on the placement termination code. There are problems with this routine because a placement end reason may not be an actual discharge. For instance, the value for return home may be getting selected by workers as a placement end reason but the agency may still have placement and care responsibility for the case and consequently the case is incorrectly being reported as a discharge.</p> <p>If the discharge reason could not be determined from either the removal end reason or the placement termination code, it performs the following mapping of the most recent goal (foster care element #43): "live with other relative," "adoption," "emancipation" and "guardianship" to the identical AFCARS value. Other values of recent goal are mapped to "reunification."</p> <p>In general, the extraction code should be checking for an end date to the legal status in order to determine the discharge information.</p> <p>The extraction code is incorrectly setting the records of children still in foster care to blank instead of "not applicable."</p> <p><i>Post-Site Visit Findings:</i> The program code has also been modified to correctly report this element as "not applicable" instead of blank for children still in foster care.</p> <p>The program code has been modified to correctly report the reason for discharge as "emancipation," if the youth is not receiving title IV-E and turned 18 during the reporting period and before the removal end date (LNs 29891-30100).</p>

AFCARS ASSESSMENT REVIEW FINDINGS: Foster Care Data Elements

State: Arizona

AFCARS Reporting Period: October 1, 2008 - March 31, 2009 (2009A)

Data Element	Rating Factor	Findings
		<p><u>Case File Review Findings</u> 5 (13%) of the records analyzed did not match what was reported in AFCARS.</p>
<p>Source(s) of Federal financial support/assistance for child</p> <p>#59 Title IV-E (Foster Care)</p> <p>0-Does not apply 1-Applies</p>	<p align="center">3 4</p>	<p><u>Screen:</u> Eligibility IV-E and Claim Detail</p> <p><u>Program Code Procedure 34800, LNs 9061-9092</u> If a claim was paid for a service during the reporting period with FMCS fund type = "IV-E," the program code sets this element to "applies" and element #65 to "does not apply."</p>
<p>#60 Title IV-E (Adoption Subsidy)</p> <p>0-Does not apply 1-Applies</p>	<p align="center">4</p>	<p><u>Screen:</u> Eligibility IV-E Adoption Subsidy and Claim Detail</p> <p><u>Program Code Procedure 34800, LNs 9094-9125</u> If a claim was paid for a service during the reporting period with FMCS fund type of "IVES," the program code sets this element to "applies" and element #65 to "does not apply."</p>
<p>#61 Title IV-A (Aid to Families with Dependent Children)</p> <p>0-Does not apply 1-Applies</p>	<p align="center">2</p>	<p><u>Screen:</u> Eligibility IV-A EAF and Claim Detail</p> <p><u>Program Code Procedure 34800, LNs 9127-9158</u> If a claim was paid for a service during the reporting period with FMCS fund type of "IV-A," the program code sets this element to "applies" and element #65 to "does not apply."</p> <p>This element should only reflect whether title IV-A is being paid on behalf of the child after he/she enters foster care and not for the family the child was living with prior to foster care. The definition is: "Child is living with relative(s) whose source of support is an AFDC payment for the child."</p> <p>Emergency Assistance funds are to be mapped to element #65.</p>
<p>#62 Title IV-D (Child Support)</p> <p>0-Does not apply 1-Applies</p>	<p align="center">2 3</p>	<p>No Screen - pulled through interface</p> <p><u>Program Code Procedure 34800, LNs 9184-9236</u> If a positive DCSE IV-D payment was retained during the reporting period, or a positive DCSE IV-D payment had been issued at any time, the program code sets this element to "applies" and element #65 to "does not apply." The program code is only to check for payments during the report period, not "at any time." <i>Post-Site Visit Findings: The program code has been modified to correctly check for payments during the reporting period (LNs 94470-94480).</i></p>
<p>#63 Title XIX (Medicaid)</p>	<p align="center">4</p>	<p><u>Screen:</u> Eligibility XIX</p>

AFCARS ASSESSMENT REVIEW FINDINGS: Foster Care Data Elements

State: Arizona

AFCARS Reporting Period: October 1, 2008 - March 31, 2009 (2009A)

Data Element	Rating Factor	Findings
0-Does not apply 1-Applies		<p><u>Program Code Procedure 34800, LNs 9238-9277</u> If there are any approved eligibility assessment records with type "TXIX" that were effective during the reporting period and through the last day of the reporting period (or discharge date), the program code sets this element to "applies" and element #65 to "does not apply."</p>
#64 SSI or other Social Security Act Benefits 0-Does not apply 1-Applies	4	<p><u>Screen: Income Detail</u> <u>Program Code Procedure 34800, LNs 9279-9313</u> If there are any records for this child having a positive amount in the personal financial assets table with income description type of "SSI" and a received date during the current removal episode, but not later than the end of the reporting period, the program code sets this element to "applies" and element #65 to "does not apply."</p>
#65 None of the Above 0-Does not apply 1-Applies	2	<p><u>Screen: Income Detail</u> <u>Program Code Procedure 34800, LNs 9061-9313</u> If any of the elements #59-54 is "applies," the program code sets this element to "does not apply." It should also check for other sources of income during the reporting period in addition to those in #59-64.</p>
#66 Amount Of Monthly Foster Care Payment (regardless of source) 0-Does not apply 1-Applies	2	<p><u>Screen: Claim Detail</u> <u>Program Code Procedure 34900, LNs 9324-9376 and 1744-1758</u> The program code reports the latest bill amount from the claim table record having a start date during the current removal episode, but not later than the last day of the reporting period.</p> <p>The State is incorrectly reporting partial payments for this element. This element is to be a full monthly payment, so will need to check for the last month that a full monthly payment was made. It also should also be reflective of the current setting. So, if the child has not been in a setting for a full month, report zeros. <i>Post-Site Visit Findings: The program code has been modified to report the amount paid in the latest month of the reporting period in which services were provided through the last day of the month. However, there is no check that the services were also provided on the first day of that month, allowing for the possible reporting of a partial monthly payment (LNs 95810-96120; 18095-18240).</i></p>

AFCARS ASSESSMENT REVIEW FINDINGS: Adoption Data Elements

State: Arizona

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Data Element	Rating Factor	Findings
#1 State FIPS Code	4	<u>Program Code:</u> This value is hard-coded to "04" in the program code.
#2 Report Period End Date	4	<u>Program Code:</u> Procedure 10000 This value is entered via a parameter file when the extraction is run.
#3 Record Number	4	A new case number is not assigned until the child's adoption is finalized. <u>Program Code LNs 1846-1859</u> The program code reports the HLCI number from the client person table for all types of adoptions (including private agency or DDD adoptions.) If the HLCI is blank, the program code reports the person foreign key from the legal status history table with "99" pre-pended as the first two digits. The State team explained that every adoption record reported now has a valid HLCI. The use of the person foreign key from legal status history was for rare cases in the past for which an HLCI had not been assigned prior to extraction of the data file.
#4 State Agency Involvement 1 = Yes 2 = No	4	<u>Screen:</u> Adoption Characteristics and Eligibility IV-E Adoption Subsidy <u>Extraction Code Procedure 34000</u> The program code initializes the value to "2, no." It then checks the following conditions: - adoption elements #31 (foster parent relationship) = "yes," - adoption element #34 (placed by) = "public agency," - adoption element #35 (monthly subsidy to child) = "yes." If any of these conditions is true, the program code sets this element to "yes."
#5 Child Date of Birth	4	<u>Screen:</u> Person Detail <u>Program Code:</u> Same logic as in foster care.
#6 Child Sex 1 = Male 2 = Female	4	<u>Screen:</u> Person Detail (Same as foster care screen) <u>Program Code:</u> Same logic as in foster care.
#7 Child's Race a. American Indian or Alaska Native b. Asian	3	<u>Screen:</u> Person Detail (Same as foster care screen) <u>Program Code:</u> Same logic as in foster care.

AFCARS ASSESSMENT REVIEW FINDINGS: Adoption Data Elements

State: Arizona

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Data Element	Rating Factor	Findings
c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine 0 = Does not apply 1 = Apply		The State needs to ensure that the race information, especially “unable to determine” is being collected accurately for private adoptions. <i>Post-Site Visit Findings: The program code has been modified to correctly initialize the child's race elements to blank instead of "not applicable." VALCH1111; LNs 12703-12708; 15502-15507; 19122-19123; 19322-19323.</i>
#8 Child Hispanic Origin 1 = Yes 2 = No 3 = Unable to Determine	3	<u>Screen:</u> Person Detail (Same as foster care screen) <u>Program Code:</u> Same logic as in foster care. <i>Post-Site Visit Findings: The program code has been modified to correctly initialize this element to blank instead of "unable to determine" (LNs 12704-12709, 15503-15510).</i>
#9 Has Agency Determined Special Needs 1 = Yes 2 = No	3	<u>Frequency Report</u> (n=767): Yes = 643; No = 124; Not reported = 0 The number of records reported as “yes” for element #35 (is child receiving a subsidy) is 709 . <u>Screen:</u> Adoption Characteristics (See notes in element #10) <u>Program Code Procedures</u> 31000, 31100, 31110, 31120, 31130, 31135, 32400 and LNs 952-986 The program code is incorrectly initialized to “no.” This field is derived from the findings in element #10. (See notes in element #10.) <i>Post-Site Visit Findings: The program code has been modified to correctly initialize this element to blank instead of "no" (LNs 12705-12710, 15504-15520).</i>
#10 Primary Basis for Determining Special Needs 0 = Not Applicable 1 = Racial/Original Background 2 = Age 3 = Membership in a Sibling Group 4 = Medical Conditions or Mental, Physical or Emotional Disabilities 5 = Other	2	<u>Frequency Report</u> (n=767): Not applicable = 124 (16%); Race/Original Background = 19 (3%); Age = 14 (2%); Sibling group = 145 (19%); Medical, etc. = 465 (61%); Other = 0 ; Not reported = 0 <u>Screen:</u> Adoption Characteristics This screen has a “Special Needs” field with the following options: race/origin, sibling group, age, and conditions. There is no field for other State defined special needs. The State team indicated they do identify a child as special needs due to “at-risk” conditions. These are being entered incorrectly as “medical conditions or mental, physical or emotional disabilities.” The worker is able to select all applicable reasons for determining that the child has special needs. However, there is no way to indicate the primary basis of special needs. The field or other means needs to be added to the system that will allow the worker to identify the primary basis of special needs. As found in the program code, the code and not the worker is determining the primary basis of

AFCARS ASSESSMENT REVIEW FINDINGS: Adoption Data Elements

State: Arizona

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Data Element	Rating Factor	Findings
		<p>special needs.</p> <p><u>Case File Review Findings:</u> There were seven errors identified in the analysis. In all but one of the cases the response should have been something other than “medical conditions or mental, physical or emotional disabilities.”</p> <p><u>Program Code Procedures 31000, 31100, 31110, 31120, 31130, 31135, 32400 and LNs 952-986</u> The extraction code has a hierarchy programmed into it so that if the value “medical conditions or mental, physical or emotional disabilities” is selected, regardless of any others basis being selected, then “medical conditions or mental, physical or emotional disabilities” is reported as the primary basis for special needs. The information reported should be what the worker and supervisor determine to be the primary basis and not the system.</p> <p>If no clinically diagnosed condition is found, the program code checks the race, age and sibling group indicators from the adoption characteristics table. It sets element #10 to the special need corresponding to the last indicator checked in the program code having a value of “yes.”</p> <p><i>Post-Site Visit Findings: The program code has been modified to initialize this element to blank instead of "not applicable." In a later section, the code checks if the element is blank, then sets it to "not applicable." LNs 12706-12712, 15505-15530, 28482-28483, 28782-28785.</i></p>
#11 - 15		<p>See notes in foster care elements #11 - 15. Unlike the foster care elements these can be initialized to “does not apply.”</p> <p><i>Post-Site Visit Findings: The program code has been modified to initialize these elements to "does not apply" instead of blank (LNs 12707-12714, 15506-15540).</i></p> <p><i>The program code has also been modified to correctly exclude conditions that begin after the adoption date (LNs 9572, 9702, 9832).</i></p>
#11 Mental Retardation	2	<u>Screen:</u> Participant Education Condition (Same as foster care screen.)
#12 Visually/Hearing Impaired	2	<p><u>Screen:</u> Medical Condition Detail and Participant Education Condition (Same as foster care screen.)</p> <p><u>Case File Review Findings:</u> There were 10 errors identified in the analysis. In each case the child wore glasses.</p>
#13 Physically Disabled	2	<p><u>Screen:</u> Medical Condition Detail (Same as foster care screen)</p> <p>Cystic Fibrosis is incorrectly mapped to element #15. It must be mapped to #13. <i>Post-Site Visit</i></p>

AFCARS ASSESSMENT REVIEW FINDINGS: Adoption Data Elements

State: Arizona

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Data Element	Rating Factor	Findings
		<i>Findings: The mapping has been modified to correctly include conditions of Cystic Fibrosis and muscular disorders for this element (LNs 2239-2240).</i>
#14 Emotionally Disturbed	2	<p><u>Screen:</u> Psych/Behavior Condition Detail (Same as foster care screen)</p> <p><u>Case File Review Findings:</u> There were three errors identified in the analysis.</p>
#15 Other Diagnosed Condition	2	<p><u>Screen:</u> Medical Condition Detail and Psych/Behavior Condition Detail (Same as foster care screen)</p> <p><u>Medical Codes</u> The State maps “asthma/allergy” to this element. Should only be mapped to element #15 if it is a condition that affects daily living. Otherwise, this should be included in the category “other,” when the worker selects the primary basis in element #10.</p> <p>Cystic Fibrosis is incorrectly mapped to this element, must be mapped to #13.</p> <p><i>Post-Site Visit Findings: The mapping has been modified to correctly include conditions of Cystic Fibrosis and muscular disorders in adoption element #13 instead of in this element and to no longer map "ASTH" and "TECH" to any AFCARS element (LNs 2239-2240, 2248-2255).</i></p> <p><u>Case File Review Findings:</u> There were five errors identified in the analysis.</p>
#16 Mother's Birth Year	3 4	<p><u>Screen:</u> Person Detail (Same as foster care screen)</p> <p><u>Program Code Procedures 32000 and 32100</u> Same logic used in foster care for birthdates.</p>
#17 Father's Birth Year	3 4	<p><u>Screen:</u> Person Detail (Same as foster care screen)</p> <p><u>Program Code Procedures 32000 and 32100</u> Same logic used in foster care for birthdates.</p>
#18 Mother Married at Time of Birth	3	<p><u>Screen:</u> Participant Detail (Same as foster care screen)</p> <p><u>Case File Review Findings:</u> There were three errors identified in the analysis.</p> <p><u>Program Code LNs 1846-1875</u></p>

1 = Yes
2 = No
3 = Unable to Determine

AFCARS ASSESSMENT REVIEW FINDINGS: Adoption Data Elements

State: Arizona

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Data Element	Rating Factor	Findings
		<p>The program code selects the mother married at birth indicator and maps the State values of “yes,” “no” and “unable to determine” to identical AFCARS values. All other values and missing information are mapped to blank.</p> <p>If the child’s HLCI number was blank, the program code sets adoption element #18 to blank.</p>
#19 Date of Mother's TPR	2	<p><u>Screen:</u> Family Relationships and Legal Status</p> <p><u>Case File Review Findings:</u> There were four errors identified in the analysis.</p> <p><u>Program Code Procedures 32000, 32100, 32110 and LNs 991-1012, 1047-1057</u> Similar program code as in foster care that implements identical logic. <i>Post-Site Visit Findings: The program code has been modified to report the TPR date for the person with relationship types of "MOTH" or "ALMO" and having the latest effective date of the relationship before the date of adoption. The program code must check for the latest TPR date instead of the latest effective date of the relationship. The program code checks the dates for any mother except the new adopting mother (LNs 10010-10101).</i></p>
#20 Date of Father's TPR	2	<p><u>Screen:</u> Family Relationships and Legal Status</p> <p><u>Case File Review Findings:</u> There were four errors identified in the analysis.</p> <p><u>Program Code Procedures 32000, 32120, 32125 and LNs 1016-1042, 1047-1057</u> Similar program code as in foster care that implements identical logic. <i>Post-Site Visit Findings: The program code has been modified to incorrectly report the TPR date for the person with relationship type of "FATH" or "ALFA" and having the latest effective date of the relationship before the date of adoption. The program code must check for the latest TPR date instead of the latest effective date of the relationship. The program code checks the dates for any father except the new adopting father (LNs 10292-10370).</i></p>
#21 Date Adoption Legalized	4	<p><u>Screen:</u> Removal Status and Legal Status (Same as foster care screen)</p> <p><u>Program Code LNs 1372-, 523-837</u> The program code reports the return date from the removal table or, for private and DDD adoptions, the effective date from the legal status history table. These are the dates selected during the initial adoption selection logic.</p>

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State: Arizona

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Data Element	Rating Factor	Findings
<p>#22 Adoptive Family Structure</p> <p>1 = Married Couple 2 = Unmarried Couple 3 = Single Female 4 = Single Male</p>	<p>3 4</p>	<p><u>Screen:</u> Marital Status History (Same as foster care screen)</p> <p><u>Program Code Procedures 32210, 32250</u> This element is initialized to blank.</p> <p>The program code checks the marital status type code from the marital status history table, first for the adoptive mom then for the adoptive dad and performs the following mapping from State to AFCARS values: "MARR," "MA," and "SEPA" are mapped to "1, married couple," "CONS, consensual," and "UC, unmarried couple," are mapped to "2, unmarried couple," "D," "SING," "W," "DV," "NM," "WI," and "LS" are mapped to "3, single female" for adoptive mom or to "4, single male" for adoptive dad.</p> <p>Separated is correctly mapped to married couple.</p>
<p>#23 Adoptive Mother's Year of Birth</p>	<p>3</p>	<p><u>Screen:</u> Person Detail (Same as foster care screen)</p> <p><u>Case File Review Findings:</u> There were two errors identified in the analysis.</p> <p><u>Program Code Procedures 32210 and 322500</u> Similar logic used in foster care for birthdates but the adoption code appears to allow for the possibility of same sex couples who are adoptive parents. Correctly set to spaces if single male adoptive parent.</p>
<p>#24 Adoptive Father's Year of Birth</p>	<p>3</p>	<p><u>Screen:</u> Person Detail (Same as foster care screen)</p> <p><u>Case File Review Findings:</u> There were three errors identified in the analysis.</p> <p><u>Program Code Procedure 322500</u> Similar logic used in foster care for birthdates but the adoption code appears to allow for the possibility of same sex couples who are adoptive parents. Correctly set to spaces if single female adoptive parent.</p>
<p>#25 Adoptive Mother's Race</p> <p>a. American Indian or Alaska Native b. Asian c. Black or African American</p>	<p>2 3</p>	<p><u>Screen:</u> Person Detail (Same as foster care screen)</p> <p><u>Program Code Procedures 32210</u> Similar program code as in foster care that implements identical logic.</p>

AFCARS ASSESSMENT REVIEW FINDINGS: Adoption Data Elements

State: Arizona

AFCARS Reporting Period: October 1, 2008 - March 31, 2009 (2009A)

Data Element	Rating Factor	Findings
d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine 0 = Does not apply 1 = Apply		The program code incorrectly sets this element to zeroes for a single male adoption. It should be set to blanks. <i>Post-Site Visit Findings: The program code has been modified to correctly initialize the adoptive mother's race elements to blank instead of "does not apply" (LNs 16962-16963; 17160-17161; 26602).</i> The State team indicated that the worker must select at least one race before leaving the person detail screen.
#26 Adoptive Mother's Hispanic Origin 0 = Not Applicable 1 = Yes 2 = No 3 = Unable to Determine	2 3	<u>Screen:</u> Person Detail (Same as foster care screen) <u>Program Code Procedures 32210</u> Similar program code as in foster care that implements identical logic. If a single male adopts a child this element is incorrectly set to spaces. It must be set to "not applicable." <i>Post-Site Visit Findings: The program code has been modified to correctly report this element as "not applicable," instead of blank, if the child was adopted by a single male (LNs 27172-27173).</i>
#27 Adoptive Father's Race a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine 0 = Does not apply 1 = Apply	2	<u>Screen:</u> Person Detail (Same as foster care screen) <u>Program Code Procedures 32250</u> Similar program code as in foster care that implements identical logic. The program code incorrectly sets this element to zeroes for a single male adoption. It should be set to blanks. <i>Post-Site Visit Findings: The program code continues to incorrectly initialize this element to "does not apply" instead of blank in procedure 32250-ADOPT-FATHER, unlike the correct modification made for element #25 in 32210-ADOPT-MOTHER. However, the program code was modified to initialize this element to blank in other sections (LN27250; 17702-17703; 17900-17901).</i> The State team indicated that the worker must select at least one race before leaving the person detail screen. <u>Case File Review Findings:</u> There were three errors identified in the analysis.
#28 Adoptive Father's Hispanic Origin 0 = Not Applicable 1 = Yes 2 = No	2 3	<u>Screen:</u> Person Detail (Same as foster care screen) <u>Case File Review Findings:</u> There were nine errors identified in the analysis.

AFCARS ASSESSMENT REVIEW FINDINGS: Adoption Data Elements

State: Arizona

AFCARS Reporting Period: October 1, 2008 - March 31, 2009 (2009A)

Data Element	Rating Factor	Findings
3 = Unable to Determine		<p><u>Program Code Procedures 32250</u> Similar program code as in foster care that implements identical logic.</p> <p>If a single female adopts a child this element is incorrectly set to spaces. It must be set to “not applicable.” <i>Post-Site Visit Findings: The program code has been modified to correctly report this element as "not applicable," instead of blank, if the child was adopted by a single female (LNs 26430-26431).</i></p>
#29 –32 0 = Does not Apply 1 = Applies	2	<p><u>Screen:</u> Adoption Characteristics The field does not appear to be multi-select.</p> <p><u>Program Code:</u> The program code checks the relationship type code from the adoption characteristics table for records entered before June 2002 and the adoptive parent relationship code from the adoption placement table for records entered in June 2002 or later. The State indicated there was no conversion performed, so both methods are implemented.</p> <p>The program code incorrectly reports only a single choice for elements #29-32.</p>
#29 Relationship of Adoptive Parent to Child - Stepparent	2	<p><u>Screen:</u> Adoption Characteristics</p> <p><u>Program Code:</u> Procedure 32400. The program code correctly maps this element to “applies” when the relationship type code (old method) or the adoptive parent relationship code (new method) is either “SFAT” or “SMOM.”</p> <p>The default value is “0, does not apply.”</p>
#30 Relationship of Adoptive Parent to Child - Other Relative	2	<p><u>Screen:</u> Adoption Characteristics</p> <p><u>Program Code:</u> Procedure 32400. The program code correctly maps this element to “applies” when the relationship type code (old method) is “UNCL,” “AUNT,” “GRAP,” “GRAM,” “OTHR,” “PARN,” “GPAR,” “MOTH,” “FATH,” “SS,” “BR,” or the adoptive parent relationship code (new method) is “UNCL,” “AUNT,” “GRAP,” “GRAM,” “OTHR,” “GPAR,” “SS,” “BR.”</p> <p>The default value is “0, does not apply.”</p>
#31 Relationship of Adoptive Parent to Child - Foster Parent	2	<p><u>Screen:</u> Adoption Characteristics</p>

AFCARS ASSESSMENT REVIEW FINDINGS: Adoption Data Elements

State: Arizona

AFCARS Reporting Period: October 1, 2008 - March 31, 2009 (2009A)

Data Element	Rating Factor	Findings
		<p><u>Case File Review Findings:</u> There were 13 errors identified in the analysis.</p> <p><u>Program Code:</u> Procedure 32400. The program code correctly maps this element to “applies” when the relationship type code (old method) or the adoptive parent relationship code (new method) is “FPAR.”</p> <p>The default value is “0, does not apply.”</p>
#32 Relationship of Adoptive Parent to Child - Other Non-Relative	2	<p><u>Screen:</u> Adoption Characteristics</p> <p><u>Case File Review Findings:</u> There were four errors identified in the analysis.</p> <p><u>Program Code Procedure 32400</u> The program code correctly maps this element to “applies” when the relationship type code (old method) is “NON-,” “ADMO,” “ADFA,” “OTHR,” or the adoptive parent relationship code (new method) is “PRGU,” “NON-,” “ADMO” or “ADFA.”</p> <p>The default value is “0, does not apply.”</p>
#33 Child Was Placed from 1 = Within State 2 = Another State 3 = Another Country	3 4	<p><u>Screen:</u> Adoption Characteristics Includes all AFCARS options.</p> <p><u>Program Code Procedure 32400.</u> The program code checks the custody indicator from the adoption characteristics table and correctly maps the State’s values to the AFCARS values. Missing data and other values are mapped to blank.</p>
#34 Child Was Placed by 1 = Public Agency 2 = Private Agency 3 = Tribal Agency 4 = Independent Person 5 = Birth Parent	3 4	<p><u>Screen:</u> Adoption Characteristics</p> <p><u>Frequency Report</u> (n=767): Public Agency = 750 (97.78%); Private Agency = 8 (1.04%); Independent Person = 2 (.26%); Birth Parent = 6 (.78%)</p> <p><u>Program Code Procedure 32400</u> The program code performs the following mapping of the placed by type code in either the adoption placement table (new method) or the adoption characteristics table (old method): “PUBL” or “DDD, developmental disability” to “public agency,” “PRIV” to “private agency,” “TRIB” to “tribal agency,” “INDE” to “independent person,” “BIRT” to “birth parent.”</p>

AFCARS ASSESSMENT REVIEW FINDINGS: Adoption Data Elements

State: Arizona

AFCARS Reporting Period: October 1, 2008 - March 31, 2009 (2009A)

Data Element	Rating Factor	Findings
		<p>Missing information and other values are mapped to blank.</p> <p>The category “public agency” includes the State and any private agency that the State contracts with that acts as an agent of the State.</p>
<p>#35 Receiving Monthly Subsidy</p> <p>1 = Yes 2 = No</p>	<p align="center">2</p>	<p><u>Screen:</u> Adoption Subsidy Application</p> <p><u>Frequency Report</u> (n=767): Yes = 709 (92%); No = 58 (8%)</p> <p><u>Program Code Procedures 34000, 35000, 35100 and LNs 1076-1081</u> The program code first establishes a link between the current child ID being reported and the new child ID under which the subsidies are being paid. If a link is made to a new child ID, the program code sets this element to “yes” if there is an approved daily maintenance amount in the adoption subsidy table. Otherwise, the program code sets this element to “no.”</p> <p>Medicaid-only subsidies are incorrectly not being reported in this element. The State needs to add a way to collect information on “Medicaid only” adoption subsidies.</p>
<p>#36 Monthly Amount</p>	<p align="center">2 3</p>	<p><u>Screen:</u> Eligibility IV-E Adoption Subsidy, Income Detail, and Adoption Subsidy Application The screens “Adoption Subsidy Application” and “Eligibility IV-E Adoption Subsidy” have listed “high risk psych” and “high risk emotdis”</p> <p><u>Case File Review Findings:</u> There were nine errors identified in the analysis.</p> <p><u>Program Code Procedure 35100 and LNs 1076-1081</u> The program code first establishes a link between the current child ID being reported and the new child ID under which the subsidies are being paid. If a link is made to a new child ID, the program code selects the approved daily maintenance amount in the adoption subsidy table. It then multiplies the daily amount by 30.5 to calculate the monthly amount reported for this element. The State team indicated that each adoption subsidy agreement specifies a code number that corresponds to a specific daily maintenance rate. The program code correctly calculates the monthly amount to report in this element by multiplying that daily rate by 30.5.</p> <p>For Medicaid-only subsidies, report this element as zeros.</p>
<p>#37 Adoption Assistance - title IV-E</p>	<p align="center">2 3</p>	<p><u>Case File Review Findings:</u> There were two errors identified in the analysis.</p>

AFCARS ASSESSMENT REVIEW FINDINGS: Adoption Data Elements

State: Arizona

AFCARS Reporting Period: October 1, 2008 - March 31, 2009 (2009A)

Data Element	Rating Factor	Findings
		<p><u>Program Code Procedure 34000 and LNs 3070-3090 and 3150-3171</u> The program code incorrectly checks for any claims paid for the new child ID during the reporting period with FMCS fund type “IVES,” before setting this element to “yes.” The program code must check for eligibility during the reporting period, instead of checking for claims paid. An adoption late in reporting period might not have a payment made at the end of the reporting period. <i>Post-Site Visit Findings: The program code has been modified to correctly check for approved “IVES” eligibility during the reporting period instead of checking for claims paid (LNs 30752-30870; 30922-30981; 31602-31710).</i></p> <p>For Medicaid-only this element would be no. This is based on the date the adoption was finalized and the status of the eligibility at that point.</p>